

Prospectus for Synthesis and Assessment Product 2.2

North American Carbon Budget and Implications for the Global Carbon Cycle

[also known as the *Prototype State of the Carbon Cycle Report (SOCCR) focused on North America*]

Lead Agency: DOE, NASA, NOAA

Supporting Agencies: USDA, USGS

1. Description of Topic, Audience, Intended Use, and Questions to be Addressed

1.1. Introduction

The carbon cycle chapter of the *Strategic Plan for the U.S. Climate Change Science Program* (CCSP) describes a plan to produce "...a series of increasingly comprehensive and informative reports about the status and trends of carbon emissions and sequestration," each to be called a State of the Carbon Cycle Report (SOCCR). The Carbon Cycle Interagency Working Group's (CCIWG) Terms of Reference (TOR)¹ for a first SOCCR elaborated this in June 2003, saying that what is envisioned is "...a series of reports on the state of the carbon cycle designed to provide accurate, unbiased, and policy-relevant scientific information concerning the carbon cycle to a broad range of stakeholders. The two broad objectives for a State of the Carbon Cycle Report are (1) to summarize scientific knowledge about carbon cycle properties and changes, and (2) to provide scientific information for decision support and policy formulation concerning carbon." The first SOCCR will be CCSP Synthesis and Assessment Report (SAR) 2.2.

[FOOTNOTE 1: The *Terms of Reference for a First State of the Carbon Cycle Report* can be found at <<http://www.carboncyclescience.gov>>. It was prepared by the CCIWG in consultation with its Science Steering Group, completed in May 2003, and posted on the web in June 2003.]

The carbon cycle chapter of the CCSP Strategic Plan describes a long-term vision to regularly produce a comprehensive report on the state of the global carbon cycle within 10 years and projects that a near-term, prototype report focused on North America can be produced within 2 years. SAR 2.2 will produce substantive information about North America's carbon budget and the role of the United States, while also serving as a prototyping activity for a future global report. Subsequent reports will expand in geographic coverage and/or in depth and breadth of analyses. They are expected to evolve based on the lessons learned in producing earlier reports.

1.2. Topic and Content

SAR 2.2 will provide a synthesis and integration of the current knowledge of the North American carbon budget and its context within the global carbon cycle. In a format useful to decisionmakers, it will (1) summarize our knowledge of carbon cycle properties and changes relevant to the contributions of and impacts upon the United States and the rest of the world, and (2) provide scientific information for U.S. decision support focused on key issues for carbon management and policy.

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2 SAR 2.2 will address carbon emissions, natural reservoirs and sequestration, rates of transfer, the
3 consequences of changes in carbon cycling on land and the ocean, effects of purposeful carbon
4 management, and the socio-economic drivers and consequences of changes in the carbon cycle.
5 It will include an analysis of North America's carbon budget that will document the state of
6 knowledge and quantify uncertainties. This analysis will provide a baseline against which future
7 results from the North American Carbon Program (NACP) can be compared. More specifically,
8 SAR 2.2 will:

- 9
- 10 • Quantify current uncertainties related to the buildup of carbon dioxide and
11 methane in the atmosphere. For example, it will provide estimates of carbon
12 dioxide emissions from combustion of fossil fuels in North America for the
13 periods 1990-1999 and 2000-2004.
 - 14 • Discuss current best projections of the future of the North American carbon
15 budget, including projected uncertainties in fossil fuel emissions and the impact of
16 policy and technology scenarios on those emissions.
 - 17 • Provide current best estimates, with the associated uncertainties, of the fractions
18 of global and North American fossil-fuel carbon emissions being taken up by
19 North America's ecosystems and adjacent oceans.
 - 20 • Provide current, best available answers to specific questions about the North
21 American carbon budget relevant to carbon management policy options. The
22 questions will be identified through early and continuing dialogue with SAR 2.2
23 stakeholders. The answers will include explicit characterization of uncertainties.
 - 24 • Identify where research supported by the North American Carbon Program will
25 reduce current uncertainties in the North American carbon budget and where
26 future enhancements of NACP research can best be applied to further reduce
27 critical uncertainties.
 - 28 • Describe and characterize the carbon cycle as an integrated interactive system,
29 using innovative graphics to depict the carbon cycle in ways that are easily
30 understandable.

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33 *1.3. Audience*

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35 The audience for SAR 2.2 includes scientists, decisionmakers in the public sector (Federal, State,
36 and local governments), the private sector (carbon-related industry, including energy,
37 transportation, agriculture, and forestry sectors; and climate policy and carbon management
38 interest groups), and the general public. This broad audience is indicative of the diversity of
39 stakeholder groups interested in knowledge of carbon cycling in North America and of how such
40 knowledge might be used to influence or make decisions. Not all scientific information needs of
41 this broad audience can be met in this first synthesis and assessment report, but the scientific
42 information to be provided will be of interest to all. The primary users of SAR 2.2 are likely to
43 be officials involved in formulating climate policy, individuals responsible for managing carbon
44 in the environment, and scientists involved in assessing and/or advancing the frontier of
45 knowledge.

1.4. *Intended Use*

SAR 2.2 will be used (1) as a state-of-the-art assessment of our knowledge of carbon cycle properties and changes relevant to the contributions of and impacts upon the United States and the rest of the world; (2) as a contribution to relevant national and international assessments; (3) to provide the scientific basis for decision support that will guide management and policy decisions that affect carbon fluxes, emissions, and sequestration; (4) as a means of informing policymakers and the public concerning the general state of our knowledge of the global carbon cycle with respect to the contributions of and impacts on the United States; and (5) as a statement of the carbon cycle science information needs of important stakeholder groups. For example, well-quantified regional- and continental-scale carbon source and sink estimates, error terms, and associated uncertainties will be available for use in U.S. climate policy formulation and by resource managers interested in quantifying carbon emissions reductions or carbon uptake and storage. It is expected that participating scientists will publish parallel research articles in peer-reviewed science journals. These research articles will augment SAR 2.2 as a baseline against which to compare future NACP results and as input to future Intergovernmental Panel on Climate Change (IPCC) assessments. Senior managers and the general public will use the Executive Summary of SAR 2.2 and the SOCCR (SAR 2.2) web site—created to support SAR 2.2 development—to improve their overall understanding of the U.S. role in Earth’s carbon budget and to gain perspective on what is and is not known.

1.5. *Questions to be Addressed*

Questions to be addressed by SAR 2.2 follow:

- What is the carbon cycle and why should we care?
- How do North American carbon sources and sinks relate to the global carbon cycle?
- What are the primary carbon sources and sinks in North America, and how are they changing and why?
- What are the options and measures that could significantly affect the carbon cycle?
- How can we improve the application of scientific information to decision support for carbon management and climate decisionmaking?

These questions are starting points for producing SAR 2.2; they were developed by the proposed SAR 2.2 Coordinating Team in consultation with the Agency Executive Committee (see Sections 2 and 3) and refined at the first stakeholders workshop. The draft outline of major sections of the report (see Attachment 1) elaborates on how they will be addressed in the report.

2. Contact Information: E-Mail and Telephone for Responsible Individuals at the Lead and Supporting Agencies

As assigned by the Climate Change Science Program Interagency Committee, the lead agencies are the Department of Energy (DOE), the National Oceanographic and Atmospheric

1 Administration (NOAA), and the National Aeronautics and Space Administration (NASA); the
 2 responsible individuals are Dr. Roger Dahlman, Dr. David Hofmann, and Dr. Diane Wickland
 3 and Mr. Ed Sheffner, respectively. Supporting agencies are the U. S. Department of Agriculture
 4 (USDA) and U.S. Geological Survey (USGS); the responsible individuals are Dr. Marilyn
 5 Buford and Mr. Peter Murdoch, respectively.

7	Roger Dahlman (DOE)	Roger.Dahlman@science.doe.gov	(301) 903-4951
8	David Hofmann (NOAA)	David.J.Hofmann@noaa.gov	(303) 497-6966
9	Diane E. Wickland (NASA)	Diane.E.Wickland@nasa.gov	(202) 358-0245
10	Ed Sheffner (NASA)	Edwin.J.Sheffner@nasa.gov	(202) 358-0239
11	Marilyn Buford (USDA)	mbuford@fs.fed.us	(703) 605-5176
12	Peter Murdoch (USGS)	pmurdoch@usgs.gov	(518) 285-5663

13
 14 This group of lead and supporting agency representatives has been designated the “Agency
 15 Executive Committee” and will be hereafter referred to as such. The Agency Executive
 16 Committee plans to work in partnership with the CCIWG to develop SAR 2.2 in a way that is
 17 compatible with the SOCCR TOR. At present, all members of the Agency Executive Committee
 18 are also active members of the CCIWG. The CCIWG has formally approved that the Agency
 19 Executive Committee will fulfill the role of the “Executive Committee” envisioned in the
 20 SOCCR TOR.

21 22 23 **3. Lead Authors: Required Expertise of Lead Authors and** 24 **Biographical Information for Proposed Lead Authors**

25
 26 Working in close cooperation with the Agency Executive Committee, the CCIWG received,
 27 conducted a peer review, selected, and funded a proposal from a team of scientific experts to
 28 prepare the first SOCCR (SAR 2.2). The proposal was unsolicited and was received after the
 29 CCIWG’s TOR for SOCCR was made publicly available. NASA, NOAA, DOE, and the
 30 National Science Foundation (NSF) have agreed to provide the funding for SAR 2.2.

31
 32 The lead authors and their roles are:

34	Dr. Anthony King, Oak Ridge National Laboratory	Overall Lead and Interim Lead for Scientific Content
35	Dr. Lisa Dilling, National Center for Atmospheric Research	Stakeholder Interaction Lead
36	Dr. David Fairman, Consensus Building Institute, Inc.	Stakeholder Interaction
37	Dr. Gregg Marland, Oak Ridge National Laboratory	Scientific Content
38	Dr. Adam Rose, The Pennsylvania State University	Scientific Content (Economics)
39	Dr. Thomas Wilbanks, Oak Ridge National Laboratory	Stakeholder Interaction

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 41
 42 Their activities will be coordinated by:

44	Mr. Gregory Zimmerman, Oak Ridge National Laboratory	Project Coordinator
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1 These individuals will be responsible for organizing and outlining SAR 2.2 and for its final
2 content and submission to the Agency Executive Committee. They will identify chapter authors,
3 coordinate all the inputs to SAR 2.2, and lead the overall synthesis and integration of the report.
4 They will provide oversight and editorial review of individual chapters and will, with the chapter
5 authors, prepare any overview chapters and the Executive Summary. In order to minimize
6 confusion with the group of chapter authors, this group of lead authors and the Project
7 Coordinator will hereafter be referred to as the “SAR 2.2 Coordinating Team.” Their biographies
8 are provided in Attachment 2.

9
10 The responsibility for writing each individual chapter of SAR 2.2 will be assigned to a scientist
11 expert in the topic area of the chapter; this person will be designated the chapter author. The
12 chapter authors will be recognized leaders in their fields, drawn from the wide and diverse
13 scientific community of North America and the world, as well as other qualified stakeholder
14 groups. Qualifications that will be recognized are the quality and relevance of current
15 publications in the peer-reviewed literature pertaining to their chapter topics, past or present
16 positions of leadership in the topic fields, and other documented experience and knowledge of
17 high relevance. Lead chapter authors will be responsible for the review and synthesis of current
18 knowledge and production of text. They will be responsible for recruiting well-qualified
19 contributing authors in their areas of expertise and responsibility. Chapter authors will be
20 responsible for assuring that science and stakeholder review comments on their chapters are
21 reflected in the final report.

22
23 Candidate chapter authors have been contacted and have agreed to participate in the SOCCR
24 (SAR 2.2) process:

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26 Dr. Francisco Chavez, Monterey Bay Aquarium Research Institute
27 Dr. Kenneth Davis, The Pennsylvania State University
28 Dr. Richard Houghton, The Woods Hole Research Center
29 Dr. Jennifer Jenkins, University of Vermont
30 Dr. Stephen Pacala, Princeton University
31 Dr. Keith Paustian, Colorado State University
32 Dr. Pieter Tans, National Oceanic and Atmospheric Administration
33 Ms. Mieke van der Wansem, Consensus Building Institute, Inc.
34 Dr. Steven Wofsy, Harvard University
35

36 Their biographies are provided in Attachment 3. The SAR 2.2 Coordinating Team will discuss
37 the draft chapter outline and candidate chapter authors in their initial consultations with science,
38 government, private sector, and other stakeholders, and will provide opportunities for comments
39 and additional nominations during these consultations and from the public through the CCSP and
40 SOCCR (SAR 2.2) web posting and comment processes. Anyone interested in nominating
41 authors to contribute to SAR 2.2 is encouraged to do so. Nominations must include contact
42 information and a biography (or resume / curriculum vitae) for each candidate author
43 recommended. They must be sent to Ms. Gloria Rapalee, Carbon Cycle Program Officer, at
44 <grapalee@usgcrp.gov>.
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1 The chapter author's assignment to lead a specific topical chapter will be determined as part of
2 this process. Lead and contributing chapter author selections will be made to ensure a balance of
3 scientific and technical expertise and that disparate views that have significant scientific support
4 are represented. Final authorship decisions will be made by the SAR 2.2 Coordinating Team in
5 consultation with the Agency Executive Committee and will be posted on the SOCCR (SAR 2.2)
6 web site after this prospectus is approved by the CCSP Interagency Committee.
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9 **4. Stakeholder Interactions**

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11 A process for engaging important stakeholder groups and establishing an ongoing dialogue with
12 them will be a priority activity. Stakeholder involvement is essential to ensure *transparency* –
13 open access to information on the SAR 2.2; *feedback on relevance* – review and comment on the
14 SAR 2.2 process and verification that information produced by the SAR 2.2 will be useful; and
15 *credibility* – recognition by the stakeholders of the scientific validity and independence of the
16 SAR 2.2. Neither the CCIWG nor the Agency Executive Committee believe they have the
17 expertise or time to properly engage the most important stakeholder groups or scope the
18 stakeholder issues to be addressed in SAR 2.2. Therefore, these activities will be the
19 responsibility of the SAR 2.2 Coordinating Team. Their plan includes *a structured dialogue*
20 *between scientists and stakeholders to identify and clarify information needs of managers and*
21 *decisionmakers* as the first of two major SAR 2.2 tasks.
22

23 The process of engaging stakeholders requires first establishing a meaningful, two-way dialogue.
24 The SAR 2.2 Coordinating Team notes in its proposal that *the initial design and context are*
25 *critically important* and that *the framing process requires great care*. The SAR 2.2 Coordinating
26 Team's plan for a structured dialogue with stakeholders involves a partnership with the
27 Consensus Building Institute, Inc. — an organization that has broad experience working with
28 diverse stakeholder communities in the energy and environmental sectors. A multistage process
29 has been planned to provide access and information exchange (see Section 9 below for the
30 proposed timeline).
31

32 Significant activities have already been conducted to seek stakeholder input and to scope the
33 report. They were conducted as SOCCR activities, without reference to SAR 2.2. These activities
34 were used to prepare this prospectus and its attachments. They include:
35

- 36 • An initial draft outline of the SOCCR (SAR 2.2) was produced by the SOCCR
37 Coordinating Team and delivered to the Agency Executive Committee on 30 September
38 2004.
- 39 • A stakeholder assessment involving in-depth interviews and discussions with
40 approximately 30 representatives of key stakeholder communities (scientists,
41 policymakers, policy advocates, carbon-related industries) was initiated 1 October 2004.
42 Representatives of key stakeholder constituencies were identified by taking advantage of
43 existing stakeholder contacts, processes such as CCSP's web posting and public comment
44 process, inputs from individuals providing information for the update to the Voluntary
45 Greenhouse Gas Registry, CCIWG member's knowledge of key policymakers and
46 groups, and referrals from the stakeholders contacted. Inputs were assessed in order to

1 narrow focus to stakeholders needs in a few key areas, and then to conduct in-depth
2 interviews with stakeholders in those areas. This assessment resulted in a November 2004
3 *State of the Carbon Cycle Report Stakeholder Assessment Report*.

- 4 • A web site for SOCCR (<<http://www.ucar.edu/soccr>>) was developed and put online in
5 October 2004 with information on progress and planning for the SOCCR. A listserv
6 mailing list was established to distribute electronic information about SOCCR and
7 contains over 300 individuals.
- 8 • A First Stakeholders Workshop for the SOCCR was held at the Key Bridge Marriott hotel
9 in Arlington, Virginia, 15-16 November 2004. Twenty-seven participants from industry,
10 academia, environmental interest organizations, scientists/researchers, and
11 decisionmakers from the Federal government attended the workshop. A primary
12 objective of this First Stakeholders Workshop was to seek input on how well the 30
13 September 2004 draft outline addressed scientific, policy, business, and other interests
14 and concerns. The workshop resulted in the creation of a revised outline responsive to the
15 interests and needs of the stakeholders. The workshop also identified additional
16 opportunities for future stakeholder involvement throughout the development of the
17 SOCCR report.
- 18 • The draft outline produced at the First Stakeholder Workshop (Attachment 1) was posted
19 on the SOCCR web site on 19 November 2004 for a public comment period of 30 days
20 ending 19 December 2004. Notice of the availability of the SOCCR outline for comment
21 was e-mailed to all interviewees, workshop participants, candidate chapter authors, and
22 individuals on the SOCCR listserv shortly after posting on the web. A number of
23 comments have been received through the automated web site to date. The comment
24 period will be extended to coincide with that for this prospectus and all comments
25 received will be considered according to the *Guidelines for Producing Synthesis and*
26 *Assessment Reports*.
- 27 • A “sounding board” composed of individuals of widely recognized expertise and stature
28 in carbon cycle research has been established to provide input to the SOCCR
29 Coordinating Team primarily on scientific/technical issues in preparing the report.
- 30 • A Town Hall meeting on the SOCCR (*The State of the Carbon Cycle Report (SOCCR):*
31 *Integrating Scientific Synthesis and Assessment with Stakeholders Interests and*
32 *Issues*) was held 16 December 2004, as part of the 2004 AGU Fall Meeting in San
33 Francisco, California.

34
35 Two additional stakeholders workshops will be conducted to foster communication, establish
36 interactions among stakeholders and SAR 2.2 authors, and develop inputs to shape the content of
37 SAR 2.2. Throughout the development of SAR 2.2, inputs from the stakeholders will be
38 communicated to the SAR 2.2 chapter authors so that the report can be revised and refined. The
39 SAR 2.2 Coordinating Team is planning to take advantage of CCSP’s posting and review
40 process to both identify stakeholders and capture additional inputs from them. Stakeholder inputs
41 that cannot be incorporated into SAR 2.2 will be captured and summarized so they can be used to
42 inform future *State of the Carbon Cycle Reports*.

5. Drafting Process (Including Materials to be Used in Preparing the Product)

The SAR 2.2 Coordinating Team will discuss the draft chapter outline in their initial consultations with science, government, private sector, and other stakeholders, and will provide opportunities for comments and additional nominations during these consultations and from the public through the CCSP and SOCCR (SAR 2.2) web posting and comment processes. The SAR 2.2 Coordinating Team will be responsible for developing a detailed outline of the SAR 2.2 and making final decisions, in consultation with the Agency Executive Committee, about the scope and full content of the report. The SAR 2.2 Coordinating Team will be responsible for ensuring the report is well integrated, balanced, and responsive. The SAR 2.2 Coordinating Team plans to achieve the scientific synthesis through compilation and analysis of the relevant scientific literature and available databases. Since SAR 2.2 will be completed during the initial stages of NACP, much of the information for SAR 2.2 will, by necessity, be derived from publications of many independent investigations and may consider portions of North America or may subset North America from larger geographical analyses. Many decisions will be required about how to handle disparate information. A workshop involving the chapter authors will be held to set standards for kinds of information and procedures for handling them.

Many data sets required for SAR 2.2 are already available at data archives such as the NOAA Climate Monitoring Diagnostics Laboratory (CMDL), the DOE Carbon Dioxide Information Analysis Center (CDIAC), and the NASA Distributed Active Archive Centers (DAACs). However, some of the scientific questions raised by SAR 2.2 will require further data compilation, synthesis, and integration efforts. The SAR 2.2 Coordinating Team will compile a central tabulation of referenced and supporting data, including links to available data, documentation, and contact information for data that are not easily accessible. The use of unpublished data will be discouraged for SAR 2.2. If any such data should be proposed for use, approval will be sought consistent with the *Guidelines for Producing CCSP Synthesis and Assessment Products*. The SAR 2.2 will also require tabulation of data that are not purely numerical. As described above, the effective coordination of the SAR 2.2 will depend on a systematic and regularly updated tabulation of the activities of ongoing related programs, with contact information and links to relevant web sites. The proper documentation of in-text citations will require compilation of a substantial web-accessible bibliographic database.

All authors will be provided with information quality guidelines as specified in the *Guidelines for Producing CCSP Synthesis and Assessment Products*, which will include compliance with the overall Office of Management and Budget (OMB) guidelines: *OMB Guidelines for Ensuring and Maximizing the Quality, Objectivity, Utility, and Integrity of Information Disseminated by Federal Agencies*. The authors of SAR 2.2 will be expected to emphasize the quantification of errors and confidence levels, characterization of uncertainties, and transparency of original data and model sources. SAR 2.2 will provide a clear discussion of uncertainties and how uncertainties may be reduced, preferably through a section of each chapter in which measurements, model results, or combinations of data and models occur. Numerical values will be accompanied by measures of uncertainty (e.g., $\pm x$ units or percent). Where the uncertainty cannot be quantified, an explanation or justification will be given. Statements that are vague will be avoided. All data used in SAR 2.2 (or linked by a SAR 2.2-related website) will be clearly documented, including data source and other information needed to evaluate information.

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2 To ensure consistency and thoroughness in the treatment of uncertainties across all chapters of
3 SAR 2.2, the SAR 2.2 Coordinating Team will maintain regular oversight of overall data and
4 information quality as presented in workshops and in draft text. Statistical methods will be
5 checked, and derived estimates will be traced to original measurements and model output. The
6 SAR 2.2 Coordinating Team will engage the services of a mathematical and statistical analysis
7 firm to support this effort.
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10 **6. Review**

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12 The Agency Executive Committee will ensure that SAR 2.2 is reviewed at all stages as specified
13 in the *Guidelines for Producing CCSP Synthesis and Assessment Products*, that comments and
14 other feedback are provided to the SAR 2.2 Coordinating Team for response, and that responses
15 are documented.
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18 *6.1. During Drafting Period*

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20 The SAR 2.2 Coordinating team plans to post on the SOCCR (SAR 2.2) web site the list of
21 candidate authors and all drafts of the outline, chapters, and complete report, with a mechanism
22 for providing comments through the web site. The SAR 2.2 Coordinating Team will also
23 establish a process and standards for ongoing information quality review.
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26 *6.2. Expert Review of First Draft*

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28 The Agency Executive Committee will select expert peer reviewers to conduct a formal, external
29 peer review of the first draft, drawing from the national and international communities of
30 scientific and technical experts and following the highest standards of rigor in peer review.
31 NSF's peer review procedures and conflict-of-interest rules will be applied in the identification
32 of expert peer reviewers. Expert peer reviewers will be deemed qualified through their record of
33 scholarly publication in the topic areas of SAR 2.2 and/or comparable experience and
34 accomplishment that are well-documented. The Agency Executive Committee will draw from all
35 CCIWG agencies' lists of qualified peer reviewers and will solicit suggestions for peer reviewers
36 from the scientific community and other stakeholders through the CCSP and SAR 2.2 web
37 posting and comment processes. Anyone interested in recommending expert peer reviewers for
38 this process is encouraged to do so. Recommendations of expert peer reviewers must include
39 contact information and a biography (or resume / curriculum vitae) for each person
40 recommended. They must be sent to Ms. Gloria Rapalee, Carbon Cycle Program Officer, at
41 <grapalee@usgcrp.gov>. Reviewer selections will be made to ensure a balance of scientific and
42 technical expertise and that disparate views that have significant scientific support are considered
43 appropriately.
44

45 The expert peer review will be conducted by requesting electronic mail-in evaluations from no
46 fewer than 15 scientific/technical experts. These reviews, as submitted, will be made available to

1 the SAR 2.2 Coordinating Team. In addition, the Agency Executive Committee will provide
2 their integrated assessment of the reviews and guidance concerning what type of response seems
3 to be warranted. The Agency Executive Committee does not plan to convene a peer review
4 panel, but reserves the right to do so (by either calling a meeting or holding a teleconference) if
5 conflicting comments or detailed technical considerations need to be resolved prior to providing
6 feedback to the SAR 2.2 Coordinating Team. All review comments submitted during the expert
7 review will be made publicly available without attribution to the reviewer.
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10 6.3. *Review Dates*

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12 The expert peer review will be conducted during a 1-month period to start in January 2006, and
13 end by mid-February 2006. The public comment period will begin in late April 2006.
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16 7. **Related Activities, Including Other National and International Assessment Processes**

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18 As a near-term product, SAR 2.2 will utilize, to the maximum extent possible, the information
19 available from existing data, programs, and related activities in the United States and
20 internationally. SAR 2.2 will be coordinated with related work in a way that does not duplicate
21 previous and ongoing assessments. Coordination with the NACP will be necessary to ensure that
22 the most current information is available to scientists and stakeholders contributing to SAR 2.2
23 and so that NACP benefits from the scientific baseline and assessment of stakeholder needs for
24 scientific information that SAR 2.2 will establish. SAR 2.2 will be both informed by and used as
25 an input to relevant national and international assessments.
26

27 A particular concern is the development of partnerships with international groups whose interests
28 overlap those of SAR 2.2. Although SAR 2.2 will be a U.S. product, the information in SAR 2.2
29 must reflect international scientific understanding. It is imperative that SAR 2.2 be coordinated
30 with ongoing international efforts to avoid duplication of effort, to maximize effectiveness, and
31 to ensure that the most up-to-date integrated science is presented in a global context. The SAR
32 2.2 Coordinating Team will ensure that relevant international scientific bodies are informed of
33 the intent and progress of the SAR 2.2 and will seek to harmonize its efforts with ongoing
34 relevant work of such bodies.
35

36 The SAR 2.2 Coordinating Team will establish informal communications with the IPCC, the
37 Global Carbon Project (GCP), and national programs in Canada and Mexico. The schedule for
38 the next IPCC assessment report (May 2005 for material in draft form, and December 2005 for
39 the material to have been published) is such that the results of SAR 2.2 will not be available in
40 time to be incorporated. However, informal communications between the two activities and their
41 lead authors will ensure that the most up-to-date and reliable information and analyses that are
42 available can inform both activities.
43

44 The Agency Executive Committee and the CCIWG will support the SAR 2.2 Coordinating Team
45 in engaging scientists from other nations in preparing and reviewing the scientific and technical
46 content of SAR 2.2.

8. Communications: Proposed Method of Publication and Dissemination of the Product

Production and distribution of the final SAR 2.2 will be arranged by the Agency Executive Committee, in consultation with the CCIWG and CCSP Interagency Committee. Financial support will come from the Federal government agencies of the CCIWG and the U.S. CCSP. SAR 2.2 will be printed and hardcopies will be made available through the CCSP Office; it will also be made available electronically on both the CCSP and SOCCR (SAR 2.2) web sites.

An interactive, high-quality web site has been developed for SOCCR (SAR 2.2) and will be used to make SAR 2.2 and a wide variety of information about it available to all stakeholders and the general public. The web site will serve multiple functions: complementing the printed version of the SAR 2.2, allowing worldwide access to the SAR 2.2 from any internet location; expanding the SAR 2.2 content in a fashion that will be especially useful to the research community by allowing users to click on links for further information, references, notes, etc. under specific sections of the text; linking to U.S. agency and international carbon cycle science and management websites—providing a web portal to highlight all of the existing, ongoing work; and providing an interactive way for users to comment on their experience of the SAR 2.2 and how it might be made more useful in the future.

Opportunities for offering information to the SAR 2.2 Coordinating Team will be broadly disseminated in scientific and other public venues. The SAR 2.2 Coordinating Team, chapter authors, and other participants in SAR 2.2 will be encouraged to publicize the SAR 2.2 process widely. The purposes are to disseminate information about the process and to persuade key stakeholders to participate and use the SAR 2.2 product as an aid to management and decisionmaking. A package of material will be created for all those involved in the SAR 2.2 to use as they travel in their ongoing professional work. The SOCCR (SAR 2.2) web site will be publicized at scientific meetings, to agency representatives, and at other appropriate venues (e.g., carbon sequestration meetings). The web site will explain the process of the SAR 2.2, and list information as it is approved for release. There will be an opportunity for comments to be logged on that site, and records will be kept of all comments as well as the responses to those comments.

9. Proposed Timeline

SAR 2.2 will be completed within 24 months of when work on the first SOCCR was initiated. An aggressive schedule for development and review has been established:

<u>Activity</u>	<u>Months From Start</u>	<u>Estimated Completion Date</u>
Start work	0	1 September 2004
Submit draft outline to Agency Executive Committee	1	1 October 2004
Identify and initiate consultations with stakeholders	1.5	16 October 2004
First Stakeholders Meeting	2.5	15 November 2004
Establish SOCCR web site	2.5	15 November 2004
CCSP posts prospectus for public review	4.5	January 2005
Public review period for prospectus ends	5.5	February 2005
CCSP posts revised, final prospectus	6	late-February 2005
First Chapter Authors Workshop	7	late-March 2005
Second Stakeholders Meeting	11.5	mid-August 2005
Second Chapter Authors Workshop	11.5	mid-August 2005
Chapter Authors' materials/manuscripts completed	13.5	mid-October 2005
Submit Draft SAR 2.2 to Agency Executive Committee	16	late December 2005
Complete expert peer review of Draft SAR 2.2	17.5	mid-February 2006
Deliver revised SAR 2.2 to Agency Executive Comm.	20	late April 2006
Post Revised SAR 2.2 for public review and comment	20	late April 2006
Third Stakeholders Meeting	21	late May 2006
Public review and comment period closes	21.5	mid-June 2006
Complete and deliver SAR 2.2 to CCSP	24	late August 2006
CCSP and NSTC review completed	25	October 2006

Meeting this schedule depends on the approval of this prospectus by late February 2005 so that commitments for the participation of chapter authors can be executed. Any delays in that approval or the time needed for the agency-controlled review processes in this schedule will, of necessity, result in commensurate slippages in this schedule.

List of Attachments

1. Draft Outline
2. Biographies of SOCCR Coordinating Team (i.e., SAR 2.2 "Lead Authors")
3. Biographies of Candidate SAR 2.2 Chapter Authors

Attachment 1. Draft Outline for State of the Carbon Cycle Report - North America**Executive Summary****I. Introduction: What is the carbon cycle and why should we care?****PART I: The Carbon Cycle in North America****II. How do North American carbon sources and sinks relate to the global carbon cycle?**

- A. Brief overview of the natural carbon cycle and how the carbon budget is defined
- B. Fossil fuel emissions
- C. Accumulation of carbon in the atmosphere
- D. Vegetation, soils, and land use
- E. Oceans and continental margins
- F. Quantitative integrated historical and current North American carbon budget in a global context

III. What are the primary carbon sources and sinks in North America, how are they changing and why?

- A. Introduction and overview
- B. Summary of carbon budget components for North America [synthesis linked to information in depth in Part II]
 1. Brief overview of the natural carbon cycle and how the carbon budget is defined for North America
 2. Fossil fuel emissions
 3. Terrestrial vegetation, soils and land use
 4. Aquatic carbon and land-ocean interface
 5. Coastal margins and margin-deep ocean interface
- C. Knowns and uncertainties
- D. Summary: State of the North American carbon budget
 1. Quantify current carbon balance of North American land and coastal margins with respect to atmospheric carbon concentrations
 2. Place current balance into historical and future perspective
 3. Potential changes in carbon sources and sinks due to factors other than carbon management

IV. What are the options and measures that could significantly affect the carbon cycle?

- A. Expectations for CO₂ and CH₄ concentrations in the atmosphere with current trajectories, by e.g. 2050 (review of best-developed scenarios, including discussion of global warming potentials)
- B. Options and measures (national, state, local, enterprise-level) that can reduce sources, potential reduction in atmospheric concentrations, and potential cost per unit of reduced concentrations or GWP
- C. Options and measures that can enhance sinks (national, state, local, enterprise-level), potential impact and potential cost per unit impact, by sector (agriculture, forestry, other land use, injection technologies)

1 D. Integrated comparison of sink reduction and source enhancement options, with
2 table/chart: potential for atmospheric concentration reductions, costs per unit
3 reduction and possible synergies and substitution effects across options

4 E. Implementation issues:
5

6 **V. How can we improve the application of scientific information to decision support for
7 carbon management and climate decision-making?**
8

9 **PART II – The Systems and Activities that Control the Carbon Budget in North America**
10

11 **VI. Emissions from consuming fossil fuels and producing concrete**
12

13 **VII. Agriculture**
14

15 **VIII. Forests**

16 A. Boreal

17 B. Temperate

18 C. Tropical
19

20 **IX. Grass and Rangelands**
21

22 **X. Boreal Tundra and Peatlands (Canada and Alaska)**
23

24 **XI. Wetlands**
25

26 **XII. Other land categories: Shrub lands, Arid lands, Urban ecosystems**
27

28 **XIII. Aquatic carbon, coastal management, ocean basins**
29
30

1 **Attachment 2. Biographies of SOCCR Coordinating Team**
2 **(i.e., SAR 2.2 “Lead Authors”)**

3
4 **Anthony W. King**
5 Environmental Sciences Division
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8 Oak Ridge, TN 37831-6335
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10
11 **Education**

12
13 1978 B.S. Zoology, Arkansas State University
14 1981 M.S. Biology, Arkansas State University
15 1986 Ph.D. Ecology, University of Tennessee, Knoxville

16
17 **Research interests**

18
19 Terrestrial ecosystems as part of the global Earth system, ecosystem and land-surface processes
20 at landscape, regional, and global scales, climate-ecosystem feedbacks, carbon and water cycle
21 modeling, land-use change, spatially structured population dynamics and modeling, theory of
22 scale and system organization in ecology, model sensitivity and uncertainty analysis, model
23 evaluation.

24
25 **Employment History**

26
27 1992-present Research Staff Member, Environmental Sciences Division, Oak Ridge National
28 Laboratory
29 1987-1992 Research Associate, Environmental Sciences Division, Oak Ridge National
30 Laboratory

31
32
33 **Selected Publications**

34
35 Amthor, J.S., J. M. Chen, J.S. Clein, S.E. Frolking, M.L. Goulden, R.F. Grant, J.S. Kimball,
36 A.W. King, A.D. McGuire, N.T. Nikolov, C.S. Potter, S. Wang and S.C. Wofsy. 2001.
37 Boreal forest CO₂ and evapotranspiration predicted by nine ecosystem process models: inter-
38 model comparisons and relationships to field measurements. *Journal of Geophysical*
39 *Research* 106:33,623-33,648.
40 Potter, C.S., S. Wang, N.T. Nikolov, A.D. McGuire, J. Liu, A.W. King, J.S. Kimball, R.F. Grant,
41 S.E. Frolking, J. Clein, J.M. Chen and J.S. Amthor. 2001. Comparison of boreal ecosystem
42 model sensitivity to variability in climate and forest site parameters. *Journal of Geophysical*
43 *Research* 106:33,671-33,688.
44 King, A.W., W.M. Post and S.D. Wullschleger. 1997. The potential response of terrestrial carbon
45 storage to changes in climate and atmospheric CO₂. *Climatic Change* 35:199-227.

- 1 King, A.W., W.R. Emanuel, S.D. Wullschleger and W.M. Post. 1995. In search of the missing
2 carbon sink: a model of terrestrial biospheric response to land-use change and atmospheric
3 CO₂. *Tellus* 47B:501-519.
- 4 King, A.W., R.V. O'Neill and D.L. DeAngelis. 1989. Using ecosystem models to predict
5 regional CO₂ exchange between the atmosphere and the terrestrial biosphere. *Global*
6 *Biogeochemical Cycles* 3:337-361.
- 7 Jager, H.I., T.L. Ashwood, B.L. Jackson and A.W. King. 2000. Spatial uncertainty analysis of
8 ecological models. Proceedings of the 4th International Conference on Integrating GIS and
9 Environmental Modeling (GIS/EM4): Problems, Prospects, and Research Needs. Banff,
10 Alberta, Canada, September 2-8, 2000.
- 11 Jager, H.I., W.W. Hargrove, C.C. Brandt, A.W. King, R.J. Olson, J.M.O. Scurlock and K.A.
12 Rose. 2000. Constructive contrasts between modeled and measured climate responses over a
13 regional scale. *Ecosystems* 3:396-411.
- 14 Post, W.M., A. King and S.D. Wullschleger. 1997. Historical variations in terrestrial biospheric
15 carbon storage. *Global Biogeochemical Cycles* 11:99-109.
- 16 King, A.W., W.R. Emanuel and W.M. Post. 1992. Projecting future concentrations of
17 atmospheric CO₂ with global carbon cycle models: simulating historical changes in
18 atmospheric CO₂. *Environmental Management* 16:91-108.
- 19 Post, W.M., T.-H. Peng, W.R. Emanuel, A.W. King, V.H. Dale and D.L. DeAngelis. 1990. The
20 global carbon cycle. *American Scientist* 78:310-326.

21
22
23
24 **LISA DILLING**

25 Environmental and Societal Impacts Group
26 National Center for Atmospheric Research
27 Boulder, CO 80301
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29

30 **EDUCATION**

- 31
32 1997 Ph.D. University of California, Santa Barbara, CA Biological Sciences
33 1989 B.A. Harvard University, Cambridge, MA Biology, *magna cum laude*
34

35 **EXPERIENCE**

- 36
37 2003-present Project Scientist II, Environmental and Societal Impacts Group, National Center
38 for Atmospheric Research, Boulder CO
39 2002-2003 Visiting Scientist, Environmental and Societal Impacts Group, National Center
40 for Atmospheric Research, Boulder CO
41 1999-2002 Co-Chair, Carbon Cycle Interagency Working Group, U.S. Global Change
42 Research Program
43 1998-2002 Program Manager, Carbon Cycle Program, Office of Global Programs, National
44 Oceanic and Atmospheric Administration, Silver Spring, MD.

- 1 1997-1998 Associate Program Manager for Ocean-Atmosphere Carbon Exchange Study and
2 Atlantic Climate Change Program, Office of Global Programs, National Oceanic
3 and Atmospheric Administration (through UCAR), Silver Spring, MD.
4 1996-1997 National Sea Grant Fellow, International Development, Office of Global
5 Programs, National Oceanic and Atmospheric Administration, Silver Spring, MD
6 1990-1995 Teaching and Graduate Research Assistant, Department of Biological Sciences,
7 University of California, Santa Barbara, CA
8 1989-1990 Technical Communications Specialist, Eastern Research Group, Inc., Arlington,
9 MA

11 AWARDS

- 12
13 NOAA Cash Award, 1998, 1999, 2000, 2001
14 Dean John A. Knauss Marine Policy Fellowship, 1995
15 National Science Foundation Graduate Fellowship, 1991-1993

17 PUBLICATIONS

- 18
19 Dilling L, Doney S, Edmonds J, Gurney KR, Harriss R, Schimel D, Stephens B, and Stokes G.
20 2003. The role of carbon cycle observations and knowledge in carbon management. Annual
21 Review of Environment and Resources 28:521-58.
22 Dilling L and MA Brzezinski. 2004. Quantifying marine snow as a food choice for zooplankton
23 using stable silicon isotope tracers. In press, Journal of Plankton Research.
24 Dilling L and AL Alldredge. 2000. Fragmentation of marine snow by swimming
25 macrozooplankton: A new process impacting carbon cycling in the sea. Deep Sea Res. I
26 47:1227-1245.
27 Dilling L, J Wilson, D Steinberg, and AL Alldredge. 1998. Feeding by the euphausiid Euphausia
28 pacifica and the copepod Calanus pacificus on marine snow. Mar. Ecol. Prog. Ser. 170: 189-
29 201.
30 Dilling L. Consumption and Fragmentation of Marine Snow by Euphausiids and Copepods. PhD
31 Dissertation.
32 Dilling L. and AL Alldredge. 1993. Can chaetognath fecal pellets contribute to carbon flux? Mar.
33 Ecol. Prog. Ser. 92:51-58.

35 SELECTED INVITED PRESENTATIONS

36 *[excluding multiple NOAA and National program presentations]*

- 37
38 Co-Chair, Session: Human Interactions and the Carbon Cycle in North America, AGU Fall 2003
39 Co-Chair, Session: State of the Science: The Role of the Carbon Cycle in the Earth System.
40 Winter AMS meeting, Long Beach, CA 2003
41 "The U.S. Carbon Cycle Program: Building an Integrated Program" University of Maryland,
42 College Park MD 2002
43 "Building an Integrated Carbon Cycle Program" Old Dominion University, Norfolk VA 2002
44 "Ocean Sciences at NOAA since September 11th" AGU/Ocean Sciences Meeting 2002
45 Co-Chair, Special Session on Oceans, Carbon Cycle and Societal Interactions, Winter American
46 Meteorological Society Meeting, Albuquerque NM 2001

1
2 **PROFESSIONAL MEMBERSHIPS**

3
4 American Geophysical Union
5 American Meteorological Society
6

7 **COLLABORATORS**

8
9 Alldredge, A (University of California, Santa Barbara), Betsill, M (Colorado State University),
10 Brzezinski, M (UC Santa Barbara), Conant, R (CSU/NREL), Cullen, H (NCAR/The Weather
11 Channel), Doney, S (Woods Hole Oceanographic Institution), Edmonds Jae (Pacific Northwest
12 National Laboratories, Joint Global Change Research Institute at the University of Maryland),
13 Gurney KR. (CSU), Harriss R (NCAR), Morss, R (NCAR), Moser, S (NCAR), Pielke, Jr., R
14 (CU), Pulwarty, R (NOAA/CIRES/CU), Sarewitz, D (CSPO), Schimel, D (NCAR), Stephens, B
15 (NCAR), Stokes, G (Pacific Northwest National Laboratories, Joint Global Change Research
16 Institute at the University of Maryland), Sundquist, E (USGS), Trtanj, J (NOAA/OGP)
17

18 Doctoral Thesis Advisor: Alice Alldredge, University of California, Santa Barbara
19
20

21
22 **DAVID M. FAIRMAN**

23 Vice President, Consensus Building Institute, Inc.
24 131 Mt. Auburn Street, Cambridge, MA 02138
25 Tel. (617) 492-1414 ext. 20
26

27 **Professional Experience**

28 *Feb.1997-present* **Consensus Building Institute** Cambridge, MA

29 *Vice President (7/99-present)*

30 *Senior Associate (2/97-6/99)*

31 Facilitator, trainer, researcher and manager for non-profit dispute resolution consulting firm.
32 Facilitate negotiations among government, business and civil society stakeholders on economic
33 and social development, environmental protection and natural resource use. Design and teach
34 training courses on negotiation, mediation and consensus-building for public, non-profit and
35 private organizations. Recent and current project conveners include World Bank, Asian
36 Development Bank, U.S. Agency for International Development, U.S. Dept. of Housing and
37 Urban Development, Florida Dept. of Environmental Protection, Council of State Governments,
38 American Cancer Society, United Way of America, Harvard University.
39

40 *Feb.2000-present* **MIT-Harvard Public Disputes Program** Cambridge, MA

41 *Associate Director*

42 Initiate and direct research projects on application of dispute resolution/consensus building
43 principles and strategies to public policy arenas. Develop strategies and materials for teaching
44 negotiation and dispute resolution skills in secondary, university and professional education
45 settings.
46

1 1991-1996 **Private practice** Cambridge, MA
 2 *Conflict Resolution Consultant*

3 Designed and taught executive training courses on strategies for using negotiation and consensus
 4 building to integrate environmental, social and economic objectives in national and international
 5 policy-making. Analyzed and recommended strategies for policy integration at the national and
 6 international level. Clients included Netherlands Ministry of Housing, Spatial Planning and
 7 Environment; UN Commission on Sustainable Development, UN Development Program; U.S.
 8 Agency for International Development.

9
 10 1989-1991 **Endispute, Inc.** Cambridge, MA
 11 *Public Policy Mediator*

12 Assessed public policy conflicts at national, state, and local levels; developed and implemented
 13 consensus-building and conflict resolution strategies. Managed stakeholder consultation on siting
 14 process for low-level radioactive waste facility. Taught negotiation and conflict management
 15 skills to public officials. Clients included American Energy Assurance Council; Maine Low-
 16 level Radioactive Waste Authority; Massachusetts Dept. of Industrial Accidents; U.S. Army
 17 Corps of Engineers.

18
 19 1989 **Somerville Community Development Corporation** Somerville, MA
 20 *Landlord-Tenant Mediator and Counselor*

21
 22 1987-1988 **Harvard College** South Asia Sheldon Fellow

23 **Education**

24 1998 **Massachusetts Institute of Technology** Cambridge, MA
 25 *Ph.D., Political Science. Dissertation examined negotiation strategies of*
 26 *advocates for natural resource policy reform in developing countries, based on*
 27 *extensive field research on forest policy reform in Philippines and Thailand.*

28
 29
 30 1987 **Harvard University** Cambridge, MA
 31 *Bachelor of Arts, summa cum laude in History and Literature.*
 32 *Awards: Phi Beta Kappa, Sheldon Fellowship for postgraduate study, E.C.*
 33 *Cumming Prize for outstanding thesis, History and Literature Prize for academic*
 34 *achievement, Adams House Arms Citation for contributions to residential*
 35 *community.*

36 **Professional Affiliations**

37
 38
 39 **Alliance for International Conflict Prevention and Resolution.** Board member.
 40 *Chairman, Education and Outreach Committee.*
 41 **U.S. Environmental Protection Agency:** Senior Mediator, ADR Roster
 42 **U.S. Institute for Environmental Conflict Resolution:** Senior Mediator, Roster of
 43 *Conflict Resolution Professionals.*
 44 **Lincoln Institute of Land Policy:** Faculty Associate
 45 **Association for Conflict Resolution:** Practitioner Member
 46 **Council on Foreign Relations:** Term Member

1
2 **SELECTED ASSESSMENT AND FACILITATION PROJECTS**

3 (*References available on request*)

4
5 ***Asian Development Bank, Chasma Right Bank Irrigation Project Social Assessment.*** 2001-02.
6 *Senior advisor for assessment of unresolved social issues relating to major irrigation*
7 *project in Pakistan. Developed assessment strategy with CBI field consultant (Prof. Adil*
8 *Najam); reviewed and edited draft assessment report; advised on agenda and work plan*
9 *for consultative workshop; provided continuous oversight and advice to CBI field*
10 *consultant.*

11
12 ***National Public Housing Assessment Policy Dialogue.*** 2001-02. *Lead facilitator for national*
13 *policy dialogue convened by U.S. Department of Housing and Urban Development*
14 *(HUD) on public housing assessment. Issues included legal basis for assessment,*
15 *assessment criteria and methods, and use of assessment results. In parallel, facilitated*
16 *meeting of public housing industry organizations to develop industry proposals on*
17 *assessment. Participants include HUD Deputy Assistant Secretaries and staff, four*
18 *national housing industry associations, three residents' associations, and technical*
19 *analysts from National Academy of Public Administration. Dialogue is ongoing, pending*
20 *submission of industry proposals.*

21
22 ***National Energy Policy Initiative.*** 2001-02. *Project manager and co-lead facilitator for*
23 *convening and facilitating a national energy issues assessment and an expert workshop,*
24 *in conjunction with the Rocky Mountain Institute. Assessment gathered and synthesized*
25 *views of 75 leading energy policy stakeholders from business, government, advocacy and*
26 *academic institutions. Workshop involved twenty-two of the country's leading energy*
27 *policy experts in joint drafting process. Facilitated drafting process to produce 25-page*
28 *consensus report and recommendations to inform current Congressional and*
29 *Administration development of national energy policy. Gave Congressional testimony*
30 *and participated in Congressional briefing and media outreach on the report.*

31
32 ***Florida Department of Environmental Protection Phosphorus Rule Development.*** 2001. *Co-*
33 *lead facilitator for rule development process to resolve 12-year controversy over*
34 *management of phosphorus run-off from agricultural lands into Everglades Protection*
35 *Area. Issues include maximum permissible phosphorus concentration, compliance test*
36 *procedures, and permitting/enforcement action to be taken in event of non-compliance.*
37 *Participants included Federal EPA and National Parks Service, State DEP, regional*
38 *Water Management District, agricultural producer groups, regulated municipalities*
39 *regional, state and national environmental groups, and scientific researchers. Process*
40 *narrowed range of disagreement on scientific and technical issues.*

41
42 ***PAVE PAWS Upgrade Issues Assessment.*** 2000. *Lead assessor of potential for dialogue and*
43 *consensus building to resolve conflict over health and safety risks of military radar*
44 *installation at the Massachusetts Military Reservation; conducted 40 stakeholder*
45 *interviews, prepared assessment, facilitated public meeting and development of*

1 *recommendations for further action. Process led to commitment by public agencies to*
2 *joint health effects study.*

3
4 **World Bank Forest Policy Evaluation Workshop.** 1999-2000. *Advised on development of*
5 *agenda, participation guidelines and ground rules; co-led facilitation of 2-day workshop*
6 *event; and drafted post-workshop report for global workshop to review World Bank's*
7 *Evaluation of its forest policy. Issues included balance among environmental, economic*
8 *and social goals in current policy, and impacts of policy implementation in over 100*
9 *countries worldwide. Participants included World Bank staff, donor and borrower*
10 *governments, forest conservation advocacy groups, commercial timber companies and*
11 *forest researchers. Participants reached consensus on numerous strengths and*
12 *weaknesses of Evaluation report, and on recommendations for further action by the*
13 *World Bank and other forest policy stakeholders.*

14 15 **SELECTED REPORTS AND PUBLICATIONS**

16
17 *Reframing the Forest: The Politics of Tropical Forest Policy Reform.* Washington, D.C.:
18 Resources for the Future Press, forthcoming 2003.

19 "Integrating Conflict Resolution into the High School Curriculum: The Example of Workable
20 Peace." Co-author with Stacie Nicole Smith. In N. Noddings, ed., *Educating for Global*
21 *Citizenship: Challenges and Opportunities.* New York: Teachers College Press, 2003.

22 " Fulfilling the Promise of Environmental Conflict Resolution." Co-author with Lisa Bingham,
23 Dan Fiorino, and Rosemary O'Leary." In L. Bingham and R. O'Leary, eds., *Evaluating*
24 *Environmental and Public Policy Dispute Resolution Programs.* Washington, D.C.:
25 Resources for the Future Press, forthcoming 2003.

26 *Consensus Building and Conflict Resolution Toolkit for National Standard Setting Processes.*
27 (IKEA-WWF Cooperation for Forest Stewardship, 2002. Available at
28 <http://www.piec.org/pathfinder/pages/instruments.html>.

29 *National Energy Policy Initiative: Expert Group Report.* Snowmass, CO: Rocky Mountain
30 Institute, March 2002. Available at www.nepinitiative.org.

31 *Juan F. Consent Decree Issues Assessment.* Confidential report to the Connecticut Department of
32 Children and Families, Juan F. Next Friends (child welfare plaintiffs) and the Office of the
33 Court-Appointed Monitor. January 2001.

34 *Convening Report for Proposed PAVE PAWS Stakeholder Working Group.* Cambridge, MA:
35 Consensus Building Institute, March 2000.

36 "Producing Consensus." Co-author with Sarah McKernan. In *The Consensus Building*
37 *Handbook*, L. Susskind et al., eds. Thousand Oaks, CA: Sage Publications, 1999.

38 *Reforming Natural Resource Policies in Developing Countries: The Politics of Forest Policy*
39 *Reform in the Philippines, Thailand and Costa Rica, 1980-1996.* Cambridge, MA: MIT
40 Department of Political Science (dissertation), 1998.

41 *Alternative Dispute Resolution Practitioners Guide.* Co-author with Scott Brown and Christine
42 Cervenak. Washington, D.C.: United States Agency for International Development, 1997.

43 "The Global Environment Facility: Haunted by the Shadow of the Future," In Robert Keohane
44 and Marc Levy, eds., *Institutions for Environmental Aid: Pitfalls and Promise.* Cambridge,
45 MA: MIT Press, 1996.

1 "Old Fads, New Lessons: Learning from Economic Development Assistance." Co-author with
2 Michael Ross. In Robert Keohane and Marc Levy, eds., *Institutions for Environmental Aid:
3 Pitfalls and Promise*. Cambridge, MA: MIT Press, 1996.
4
5

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7 **Gregg Marland**

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12 Tel: (865) 241-4850; Fax: (865) 574-2232
13

14 **Education**

15
16 1964 B.S. Virginia Polytechnic Institute, Blacksburg, VA

17 1964-1966 Washington University, St. Louis, MO

18 1972 Ph.D. University of Minnesota, Minneapolis, MN
19

20 **Employment History**

21
22 2000-present Distinguished Scientist, Oak Ridge National Laboratory

23 1987-2000 Senior Staff Scientist, Oak Ridge National Laboratory

24 1975-1987 Staff Scientist, Institute for Energy Analysis, Oak Ridge Associated
25 Universities

26 1970-1975 Assistant Professor of Geology, Indiana State University
27

28 **Professional Service/Activities**

29
30 Committee on Global Change Research - National Research Council

31 Lead author - IPCC (Intergovernmental Panel on Climate Change): Special Report on Carbon
32 Capture and Storage

33 Lead author - IPCC: Third Assessment Report, Land-use Change and Forestry

34 Lead author - IPCC: Special Report on Land Use, Land-Use Change and Forestry

35 Lead author - IPCC: Second Assessment Report, Energy Primer
36

37 **Publications**

38
39 Marland, G., A Brenkert and J. Olivier. 1999. CO₂ from fossil fuel burning: a comparison of
40 ORNL and EDGAR estimates of national emissions. *Environmental Science and Policy*
41 2:265-273.

42 Marland, G. and B. Schlamadinger. 1999. The Kyoto Protocol could make a difference for
43 optimal forest-based CO₂ mitigation strategy: some results from GORCAM. *Environmental*
44 *Science and Policy* 2:111-124.

45 Schlamadinger B. and G. Marland. 1999. Net effect of forest harvest on CO₂ emissions to the
46 atmosphere: a sensitivity analysis on the influence of time. *Tellus* 51B:314-325.

- 1 Andres, R.J., D.J. Fielding, G. Marland, T.A. Boden and N. Kumar. 1999. Carbon dioxide
2 emissions from fossil-fuel use, 1751-1950. *Tellus* 51B:759-765.
- 3 Sampson, R.N., R.J. Scholes, et al. 2000. Additional human-induced activities – Article 3.4, In
4 Land use, land-use change, and forestry, A special report of the Intergovernmental Panel on
5 Climate Change, R.T. Watson, I.R. Noble, B. Bolin, N.H. Ravindranath, D.J. Verardo and
6 D.J. Dokken (eds.), Cambridge University Press, UK, pp. 181-281.
- 7 Marland, G., B. Schlamadinger and R. Matthews. 2000. “Kyoto Forests” and a broader
8 perspective on management. *Science* 290:1895-1896.
- 9 Kheshgi, H., R. Prince and G. Marland. 2000. The potential of biomass fuels in the context of
10 global climate change: focus on transportation fuels. *Annual reviews of Energy and*
11 *Environment* 25:1999-2444.
- 12 Marland, G., K. Fruit and R. Sedjo. 2001. Accounting for sequestered carbon: the question of
13 permanence. *Environmental Science and Policy* 4:259-268.
- 14 Marland, G., T.O. West and J. Fenderson. 2001. Carbon emitted, carbon saved; CDIAC
15 Communications Newsletter, Issue no. 28, Carbon Dioxide Information Analysis Center, Oak
16 ridge National Laboratory, Oak Ridge, TN.
- 17 Marland, G., B.A. McCarl and U. Schneider. 2001. Soil carbon: policy and economics. *Climatic*
18 *Change* 51:101-117.
- 19 West, T.O. and G. Marland. 2002. A synthesis of carbon sequestration, carbon emissions, and net
20 carbon flux in agriculture: comparing tillage practices in the United States. *Agricultural*
21 *Ecosystems and Environment* 91:217-232.
- 22 West, T.O. and G. Marland. 2002. Net carbon flux from agricultural ecosystems: methodology
23 for full carbon cycle analyses. *Environmental Pollution* 116:439-444.
- 24 Marland, G. and T. Boden. 2002. The increasing concentration of atmospheric CO₂: how much,
25 when, and why? In *Proceedings of the International seminar on nuclear war and planetary*
26 *emergencies 26th session*, R. Ragaini (ed.), 19-24 August, 2001, Erice, Italy, World
27 Scientific Publishing Co., River Edge, New Jersey, USA, pp. 283-295.
- 28 Pielke, R.A. Sr., G. Marland, R.A. Betts, T.N. Chase, J.L. Eastman, J.O. Niles, D.S. Niyogi and
29 S.W. Running. 2002. The influence of land-use change and landscape dynamics on the
30 climate system - relevance to climate change policy beyond the radioactive effect of
31 greenhouse gases. *Philosophical Transactions of the Royal Society of London A*. 360:1705-
32 1719.
- 33 Schlamadinger, B., L. Aukland, S. Berg, D. Bradley, L. Ciccarese, V. Dameron, A. Faaij, M.
34 Jackson, G. Marland and R. Sikkema. 2002. Forest-based carbon mitigation projects; options
35 for carbon accounting and for dealing with non-permanence, United Nations Framework
36 Convention on Climate Change, FCCC/WEB/2002/12,4 Sept.2002,
37 <http://unfccc.int/resources/webdocs/2002/12.pdf>.
- 38 Marland, E. and G. Marland. 2003. The treatment of long-lived, carbon-containing products in
39 inventories of carbon dioxide emissions to the atmosphere. *Environmental Science and*
40 *Policy* 6:139-152.
- 41 Huston, M.A. and G. Marland. 2003. Carbon management and biodiversity. *J. of Environmental*
42 *Management* 67:77-86.
- 43 Marland, G., R.A. Pielke Sr., M. Apps, R. Avissar, R.A. Betts, K.J. Davis, P.C. Frumhoff, S.T.
44 Jackson, L. Joyce, P. Kauppi, J. Katzenberger, K.G. MacDicken, R. Neilson, J.O. Niles,
45 D.D.S. Niyogi, R.J. Norby, N. Pena, N. Sampson and Y. Xue. 2003. The climatic impacts of

- 1 land surface change and carbon management, and the implications for climate-change
 2 mitigation policy. *Climate Policy* 3:149-157.
- 3 Marland, G., T.O. West, B. Schlamadinger and L. Canella. 2003. Managing soil organic carbon
 4 in agriculture: the net effect on greenhouse gas emissions. *Tellus* 55B:613-621.
- 5 West, T.O. and G. Marland. 2003. Net carbon flux from agriculture: carbon emissions, carbon
 6 sequestration, crop yield, and land-use change. *Biogeochemistry* 63:73-82.
- 7 Marland, G., C.T. Garten Jr., W.M. Post and T.O. West. 2003. CSiTE studies on carbon
 8 sequestration in soils. *Energy – The International Journal* (in press).
- 9 Sedjo, R.A. and G. Marland. 2003. Inter-trading permanent emissions credits and rented
 10 temporary carbon emissions offsets: some issues and alternatives. *Climate Policy* (in press).
- 11 West, T.O., G. Marland, W.M. Post, A.W. King, A.K. Jain and K. Andrasko. 2003. Carbon
 12 management response curves: estimates of temporal carbon dynamics. *Environmental*
 13 *Management* (in press).
- 14 Marland, G., D. Archer, G. Benford, M. Ishikawa, F.B. Metting, F.M. Orr Jr. and T. Volk. 2003.
 15 Biological Options toward stabilization of greenhouse gas concentrations in the Earth's
 16 atmosphere. Aspen Global Change Institute (in press).

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20 **ADAM ZACHARY ROSE**

21 The Pennsylvania State University
 22 213 Walker Building, University Park, PA 16802
 23 Phone: (814) 863-0179 Fax: (814) 863-7943

24
25 **EDUCATION**

26			
27	Ph.D. (Economics)	Cornell University	1974
28	M.A. (Economics)	Cornell University	1972
29	B.A. (Economics)	University of Utah	1970

30
31
32 **RESEARCH AND TEACHING FIELDS**

33			
34	Environmental & Resource Economics	Natural & Man-Made Hazards	
35	Energy Economics	Economic Development	
36	Regional & Urban Economics	Applied General Equilibrium Analysis	

37
38
39 **EMPLOYMENT HISTORY**

40			
41	Professor, Department of Geography, The Pennsylvania State University		2002-
42	Professor, Department of Energy, Environmental, and Mineral Economics, 43 The Pennsylvania State University (Department Head, 1988-02)		1988-02
44	Professor, Department of Mineral Resource Economics, West Virginia University 45 (Department Chairman, 1986-88)		1984-88

1	Associate Professor, Department of Mineral Resource Economics, West Virginia	
2	University (Department Chairman, 1981-83)	1981-84
3	Faculty Associate, Regional Research Institute, West Virginia University	1981-88
4	Assistant Professor, Department of Economics, University of California, Riverside	1977-81
5	Lecturer, Department of Economics, University of California, Riverside	1975-77
6	Senior Council Economist, New York State Council of Economic Advisers	1974-75

RECENT VISITING POSITIONS

11	Visiting Fellow, East-West Center	2004
12	Resident Visitor, Resources for the Future	2001

RECENT ADVISORY POSITIONS

17	U.S. EPA Advisory Panel on the Second Generation Climate Policy Model	2004
18	Chair, NSF Site Review Team, Center for Decision-Making under Uncertainty	2004
19	National Academy of Sciences, Panel on Economic Benefits	
20	of Improved Seismic Monitoring	2003-
21	Consortium for Atlantic Regional Assessment of Climate Change, Advisory Council	2003-
22	NSF/Earthquake Engineering Research Institute, Panel on Research Opportunities	
23	for Earthquake Engineering	2001-03
24	Pennsylvania Consortium for Interdisciplinary Environmental Policy,	
25	Committee on Climate Change and Energy (Chair, 2001-03)	2001-
26	Multidisciplinary Center for Earthquake Engineering Research, Research Committee	2001
27	Editorial Board, <i>Energy Policy</i>	2000-
28	Editorial Board, <i>Pacific and Asian Journal of Energy</i>	1995-
29	Editorial Board, <i>Resource and Energy Economics</i>	1993-

SELECTED PUBLICATIONS

Recent Refereed Journal Articles

- 35 “Modeling Regional Economic Resilience to Disasters: A Computable General Equilibrium
36 Analysis of Water Service Disruptions,” Journal of Regional Science, forthcoming (with S.
37 Liao).
- 38 “Reducing the Conflict Between Climate Policy and Energy Policy in the U.S.: The Important
39 Role of the States,” Energy Policy, forthcoming (with T. Peterson).
- 40 “Incentive-Based Approaches to Greenhouse Gas Mitigation in Pennsylvania: Protecting the
41 Environment and Promoting Fiscal Reform,” Widener Law Journal, forthcoming (with R.
42 McKinstry and C. Ripp).
- 43 “A Greenhouse Gas Emissions Inventory for Pennsylvania,” Journal of the Air and Waste
44 Management Association, forthcoming (with B. Yarnal and others).
- 45 “Global Climate Change and the Value of Solar Energy in the U.S. Agriculture,” Land
46 Economics, forthcoming (with R. Kamat and J. Shortle).

- 1 “Defining and Measuring Economic Resilience to Disasters,” Disaster Prevention and
2 Management, Vol. 13, No. 4, 2004, pp. 307-14.
- 3 “Interregional Burden-Sharing of Greenhouse Gas Mitigation in the United States,” Mitigation
4 and Adaptation Strategies for Global Change, Vol. 9, No. 3, 2004, pp. 477-500 (with Z.
5 Zhang).
- 6 “Greenhouse Gas Mitigation Action Planning,” Penn State Environmental Law Review, Vol. 12,
7 No. 1, 2003, pp. 153-71.
- 8 “A Dynamic Analysis of the Marketable Permits Approach to Global Warming Policy: A
9 Comparison of Spatial and Temporal Flexibility,” Journal of Environmental Economics and
10 Management, Vol. 44, No. 1, 2002, pp. 45-69 (with B. K. Stevens).
- 11 “Business Interruption Losses from Natural Hazards: Conceptual and Methodological Issues in
12 the Case of the Northridge Earthquake,” Environmental Hazards: Human and Policy
13 Dimensions, Vol. 4, No. 2, 2002, pp. 1-14 (with D. Lim).
- 14 “Greenhouse Gas Reduction in the U.S.: Identifying Winners and Losers in an Expanded Permit
15 Trading System,” Energy Journal, Vol. 23, No. 1, 2002, pp. 1-18 (with G. Oladosu).
- 16 “An Economic Analysis of Flexible Permit Trading in the Kyoto Protocol,” International
17 Environmental Agreements, Vol. 1, No. 2, 2001, pp. 219-42 (with B. K. Stevens).
- 18 “Characterizing Economic Impacts and Responses to Climate Change,” Global and Planetary
19 Change, Vol. 25, No. 2, 2000, pp. 67-81 (with J. Shortle and others).

20 21 Recent Research Reports

- 22 Greenhouse Gas Emissions Inventory for Pennsylvania, Report to the Pennsylvania Department
23 of Environmental Protection, Center for Integrated Regional Assessment, The Pennsylvania
24 State University, 2003 (with B. Yarnal and others).
- 25 User Costs in Seismic Risk Management for Urban Infrastructure Systems, Report to the National
26 Science Foundation, Department of Geography, University of Washington, 2002 (with S.
27 Chang and others).
- 28 Chad-Cameroon Development Project: Economic Impact Assessment of Cameroon, Report to the
29 World Bank for ExxonMobil, URS Corporation, Houston, TX, 2002 (with F. Bayne).
- 30 Mid-Atlantic Regional Assessment (MARA): The Impacts of Climate Change, Report to the U.S.
31 Environmental Protection Agency, The Pennsylvania State University, 2000 (with A. Fisher
32 and others).

33 34 Recent Contributions to Public Documents

- 35 National Research Council. 2005. Economic Benefits of Improved Seismic Monitoring,
36 Washington, DC: National Academy Press, 2004 (with other members of a National
37 Academy of Sciences Panel).
- 38 European Union. 2003. “Understanding Sources of Resiliency to Natural Hazards,” in A. van der
39 Veen et al. (eds.) Proceedings of the Joint NEDEIS and University of Twente Workshop: In
40 Search of a Common Methodology for Damage Estimation, Bruxelles: Office for Official
41 Publications of the European Communities, 2003, pp. 137-50 (with S. Liao).
- 42 National Institute of Building Sciences/Federal Emergency Management Agency, “Indirect
43 Economic Losses,” Flood Loss Estimation Methodology, Washington, DC, 2003 (with H.
44 Cochrane and S. Chang).

1 Earthquake Engineering Research Institute, Securing Society Against Catastrophic Loss: A
2 Research and Technology Transfer Plan, Report to the National Science Foundation,
3 Oakland, CA, 2002 (with other members of an Expert Review Panel).
4
5

6 **PROFESSIONAL PRESENTATIONS (Selected)**

7

8 Conferences of Professional Organizations

9 American Economic Association Meetings: 1986, 1987
10 American Association for the Advancement of Science Meetings: 1991, 1992, 1994
11 American Society of Civil Engineers
12 Structural Engineers Joint World Congress: 1998
13 U.S. Conference on Lifeline Earthquake Engineering: 1999
14 Association of American Geographers Meetings: 2003, 2004
15 Association of Environmental and Resource Economists
16 European Meetings: 1992, 1993, 1996, 1997, 2000, 2001, 2003, 2004
17 World Congress: 1998
18 International Association for Energy Economics
19 International Meetings: 1999, 2001, 2002
20 North American Meetings: 2000
21 International Society for Ecological Economics Biennial Meetings: 1996, 1998
22 Regional Science Association
23 European Meetings: 1994
24 North American Meetings: 1990, 1992, 1994-97, 1999, 2001-03
25 Pacific Meetings: 1995
26 Western Economic Association, 1980, 1994, 1999
27
28

29 **PROFESSIONAL RESEARCH ACTIVITIES (Recent and Current)**

30

31 Major Grant and Contract Research

- 32 – Principal Investigator and Project Director, Pennsylvania Department of Environmental
33 Protection contract – Economic Impact Modeling of Pennsylvania’s Indigenous Resources,
34 2004-.
- 35 – Track A Team Leader, National Institute of Building Sciences/Federal Emergency
36 Management contract – Independent Study to Assess Future Savings from Hazard Mitigation
37 Activities (requested by U.S. Congress), 2003-04 (consultant to Applied Technology
38 Council).
- 39 – Principal Investigator and Project Director, National Science Foundation grant (through the
40 Multidisciplinary Center for Earthquake Engineering Research) – Modeling Regional
41 Economic Losses from Earthquakes: LA Lifeline Study, 2003-04.
- 42 – Co-Principal Investigator and Project Leader, Pennsylvania Department of Environmental
43 Protection contract – Pennsylvania Greenhouse Gas Emissions Inventory, 2001-03.
- 44 – Co-Principal Investigator, U.S. Department of Energy NIGEC contract – Climate Change
45 and Policy Impacts on the Southeastern U.S. Economy, 2000-01 (subcontractor through
46 University of Alabama; renewed Phase 2, 2001-02).

- 1
2 Consultantships
3 – DHS Center for Risk and Economic Analysis of Terrorism Events – Analyzing Threats to the
4 Economy through Computable General Equilibrium Analysis, 2004-.
5 – U.S. Department of Defense – Independent Review Panel on Economic Impact Analysis
6 Methodology for the Base Realignment and Closure 2005 Process (through Booz Allen
7 Hamilton), 2004.
8 – U.S. Department of Homeland Security – Development of a Framework to Estimate the
9 Economic Impacts of Terrorist Attacks (subcontractor to ABS Consulting), 2004.
10 – ICF Consulting, Inc. – Upgrading the Outer Continental Shelf Economic Impact Models for
11 the Gulf of Mexico and the Alaska OCS, 2003-.
12 – Center for Energy and Economic Development – Economic Impact of Wind-Generated
13 Electricity Displacement of Coal, 2003.
14

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16
17 **Thomas J. Wilbanks**
18 Oak Ridge National Laboratory
19 P.O. Box 2008
20 Oak Ridge, TN 37831-6206
21 Tel: (865) 574-5515; Fax: (865) 576-2943
22

23 **Education**

24
25 1960 B.A. Trinity University
26 1967 M.A. Syracuse University
27 1969 Ph.D. Syracuse University
28

29 **Research Interests**

30
31 Realizing sustainable development.
32 Relationships between society and technology.
33 Responses to concerns about global environmental change.
34 Energy and environmental policy analysis, including technology assessment; regional
35 assessment; environmental, social, and economic impact assessment; R&D policy.
36 Institution-building, especially for R&D activities and for energy and environmental
37 policymaking and decisionmaking.
38 Geographic scale as an issue in sustainability science, including roles of cross-scale interactions.
39 Regional development, particularly problems of developing regions and cross-cultural
40 comparisons of determinants.
41

42 **Employment History**

43
44 1987-present Corporate Research Fellow and Leader, Global Change and Developing Country
45 Programs, Oak Ridge National Laboratory
46 1998-present Associate, Belfer Center for Science and International Affairs, Harvard University

- 1 200-2002 Acting Co-Director, Oak Ridge Center for Advanced Studies
2 1983-present Adjunct Professor of Geography, University of Tennessee
3 1980-1987 Associate Director and Head of Programs and Planning, Energy Division, Oak
4 Ridge National Laboratory
5 1980-1981 Acting Director, Energy Division, Oak Ridge National Laboratory
6 1977-1980 Senior Planner, Energy Division, Oak Ridge National Laboratory
7 1974-1977 Research Fellow, Science and Public Policy Program, The University of
8 Oklahoma
9 1973-1977 Associate Professor and Chair, Department of Geography, The University of
10 Oklahoma
11 1973 Research Director, Syracuse-Yugoslav Project on Environmental Policy and
12 Planning, Ljubljana, Yugoslavia
13 1971-1972 Executive Director, Urban Transportation Institute, Syracuse University
14 1969-1973 Assistant Professor of Geography, Syracuse University
15 1969 Lecturer in Geography, Syracuse University
16

17 Professional Service/Activities

- 18
19 Member - Science Steering Group, U.S. Carbon Cycle Program
20 Co-author - scaling chapter of conceptual framework report, Millennium Ecosystem Assessment,
21 UN Environment Programme et al.
22 Member - IPCC Working Group II (Impacts, Adaptation, and Vulnerabilities), Third Assessment
23 Report; lead author of chapter 7 (human settlements, energy, and industry) and of the
24 synthesis report and summary for policymakers
25 Member - Advisory Committee, Human-Environmental Research Observatories, NSF-supported
26 national program led by the Pennsylvania State University
27 Coordinator - Inter-regional Forum, U.S. National Assessment of Vulnerabilities to Climate
28 Variability and Change
29 Member - Board on Earth Sciences and Resources, National Academy of Sciences/National
30 Research Council
31 Member - Committee on Human Dimensions of Global Change, National Academy of
32 Sciences/National Research Council
33 Member - Panel on Public Participation in Environmental Assessment and Decision Making,
34 National Academy of Sciences/National Research Council
35

36 Publications

- 37
38 Wilbanks, T.J. 1002. Geography and Technology, in *Technology and Geography: A Social*
39 *History*, S. Brunn, S. Cutter, J. Harrington (eds.), Dordrecht: Kluwer.
40 Wilbanks, T.J., et al. 2003. Possible Responses to Global Climate Change: Integrating Mitigation
41 and Adaptation. *Environment* 45(5):28-38.
42 Wilbanks, T.J. and R. Kates. 2003. Making the Global Local: Responding to Climate Change
43 Concerns from the Bottom Up. *Environment* 45(3):12-23.
44 Wilbanks, T.J., and D. Capistrano, et al. 2003. Dealing with Scale, Conceptual Framework,
45 Millennium Ecosystem Assessment, Kuala Lumpur, Island Press, pp. 107-126.

- 1 Wilbanks, T.J. and E.A. Parson, et al. 2003. Understanding Climatic Impacts, Vulnerabilities,
2 and Adaptation in the United States: Building a Capacity for Assessment. *Climatic Change*
3 57:9-42.
- 4 Wilbanks, T.J., S. Cutter, and D. Richardson. 2003. *The Geographical Dimensions of Terrorism*,
5 Routledge, New York.
- 6 Wilbanks, T.J., R. Kates, and R. Abler. 2003. *Global Change and Local Places: Estimating,*
7 *Understanding, and Reducing Greenhouse Gases*, Cambridge University Press.
- 8 Wilbanks, T.J. 2003. Geographic Scaling Issues in Integrated Assessments of Climate Change, in
9 *Scaling Issues in Integrated Assessment*, J. Rotmans and D. Rothman (eds.). Swets and
10 Zeitlinger 5-34.
- 11 Wilbanks, T.J., and W.C. Clark, et al. 2000. *Assessing Vulnerability to Global Environmental*
12 *Risks*, Discussion Paper 2000-12, Environment and Natural Resources Program, Kennedy
13 School of Government, Harvard University.
- 14 Wilbanks, T.J., A. Wolfe, and N. Kerchner. 2001. Public Involvement on a Regional Scale.
15 *Environmental Assessment Review* 21:431-448.
- 16 Wilbanks, T.J., and P. Stern. 2001. Policy Implications and Needs for Further Knowledge, New
17 Tools for Environmental Protection: Education, Information, and Voluntary Measures,
18 National Academy of Sciences/National Research Council.
- 19 Wilbanks, T.J. and R.W. Kates. 1999. Global Change in Local Places. *Climatic Change*
20 43(3):601-628.
- 21 Wilbanks, T.J. 1994. Sustainable Development' in Geographic Context. *Annals, Association of*
22 *American Geographers*, 84:541-57.
- 23 Wilbanks, T.J. 1992. Energy Policy Responses to Concerns about Global Climate Change, in
24 *Global Climate Change: Implications, Challenges and Mitigation Measures*, S. Majumdar, et
25 al. (eds.), Pennsylvania Academy of Sciences, Easton, PA, pp. 452-70.
- 26 Wilbanks, T.J., et al. 1989. Decision Making, in *Energy Technology R&D: What Could Make a*
27 *Difference?*, W. Fulkerson et al. (eds.), ORNL-6541, Vol. 2, Oak Ridge National Laboratory,
28 pp. 123-37.
- 29 Wilbanks, T.J. 1988. Impacts of Energy Development and Use, 1888-2088, in *Earth '88:*
30 *Changing Geographic Perspectives*, National Geographic Society, Washington, pp. 96-114.
- 31 Wilbanks, T.J. 1985. Geography and National Policy, *Annals, Association of American*
32 *Geographers*, LXXV, pp. 4-10.
- 33 Wilbanks, T.J., and R. Lee. 1985. Policy Analysis in Theory and Practice, in *Large-Scale Energy*
34 *Projects: Assessment of Regional Consequences*, T.R. Lakshmanan and B. Johansson (eds.),
35 North-Holland, Amsterdam 273-303.
- 36 Wilbanks, T.J., and E. Aronson, et al. 1984. *Energy Use: The Human Dimension*, W.H.
37 Freeman, San Francisco.
- 38 Wilbanks, T.J. 1982. Is Comprehensive Analysis of Critical Interactions Possible?, in *Energy,*
39 *Economics, and the Environment*, G. Daneke (ed.), D.C. Heath, Lexington, MA, pp. 91-110.
- 40 Wilbanks, T.J. 1980. *Location and Well-being*, Harper and Row, New York, 462 pp.
- 41 Wilbanks, T.J., and D.E. Kash, et al. 1976. *Our Energy Future: The Role of Research,*
42 *Development, and Demonstration in Reaching a National Consensus on Energy Supply*,
43 University of Oklahoma Press, Norman, 482 pp.
- 44 Wilbanks, T.J., and D.E. Kash, et al.. 1974. *A Methodology and Documentation for Consistent*
45 *Analysis of Energy Alternatives*, Science and Public Policy Program, University of
46 Oklahoma, Norman, Vol 4., 1400 pp.

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Environmental Sciences Division
Oak Ridge National Laboratory
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Oak Ridge, TN 37831-6200
Tel: (865) 574-5815; Fax: (865) 574-5788

Education

1977 M.S. Mechanical Engineering, University of Tennessee, Knoxville
1975 B.S. Mechanical Engineering, University of Tennessee, Knoxville

Employment History

1977-present Research Staff Member, Oak Ridge National Laboratory

Publications

Zimmerman, G.P. 2001. Project leader for U.S. Nuclear Regulatory Commission, Final Environmental Impact Statement for the Construction and Operation of an Independent Spent Fuel Storage Installation on the Reservation of the Skull Valley Band of Goshute Indians and the Related Transportation Facility in Tooele County, Utah (Volumes 1 and 2), NUREG-1714, U.S. Nuclear Regulatory Commission, Office of Nuclear Material Safety and Safeguards, Washington, D.C., December 2001.

Berry, J.B., C.J. Coomer, R.C. DeVault, M.R. Hilliard, P.J. Hughes, M.P. Ternes and G.P. Zimmerman. 2000. Case Studies in Sustaining DoD Readiness, 26th Environmental Symposium and Exhibition, March 27 to 30, 2000, Long Beach, Calif.; National Defense Industrial Association, Arlington, Va., Report No. P00-106353.

G. Ostrouchov, G.P. Zimmerman, J.J. Beauchamp, V.V. Fedorov and D.J. Downing. 1999. Evaluation of Statistical Methodologies Used in U.S. Army Ordnance and Explosives Work, ORNL/TM-13588, Oak Ridge National Laboratory, Oak Ridge, Tenn., September 1999.

Zimmerman, G.P. 1996. Technical Core Team Leader for U.S. Department of Energy, Performance Evaluation of the Technical Capabilities of DOE Sites for Disposal of Mixed Low-Level Waste, DOE/ID-10521 (Vols. 1, 2, and 3) and SAND96-0721 (Vols. 1, 2, and 3), prepared by Sandia National Laboratories, Albuquerque, New Mexico, March 1996.

J.D. Tauxe, D.W. Lee, J.C. Wang and G.P. Zimmerman. 1995. A Comparative Subsurface Transport Analysis for Radioactive Waste Disposal at Various DOE Sites, P95-79881, Proceedings of the 1995 Fall Meeting of the American Geophysical Union, San Francisco, Calif., December 11-15, 1995.

1 G.P. Zimmerman. 1994. Coal Technology Characterization and Discharges, Appendix A in
2 Estimating Externalities of Coal Fuel Cycles; Report Number 3 on the External Costs and
3 Benefits of Fuel Cycles: A Study by the U.S. Department of Energy and the Commission of
4 the European Communities, prepared by the Oak Ridge National Laboratory and Resources
5 for the Future; McGraw-Hill, September 1994.
6
7

Attachment 3. Biographies of Candidate SAR 2.2 Chapter Authors**Francisco P. Chavez**

Monterey Bay Aquarium Research Institute (MBARI)

7700 Sandholdt Road

Moss Landing, CA 95039-9644

Tel: (831) 775-1709; Fax: (831) 775-1620

Education

1987 Ph.D. Botany, Duke University

1977 B.S. Oceanography, Humboldt State University

Research Interests

Biology and chemistry of the ocean in relation to natural climate variability and global change. Global carbon cycle. Instrumentation and systems for long-term ocean observing. Satellite remote sensing.

Employment History

2000-present	Senior Scientist , MBARI
2000-present	Faculty (courtesy), Stanford University
1996-2000	Associate Scientist (III), MBARI
1992-1996	Associate Scientist (II), MBARI
1990-present	Research Associate, University of California, Santa Cruz
1987-1992	Assistant Scientist, MBARI

Professional Service/Activities

Member - JGOFS time series oversight committee
Reviewer - Chilean Oceanographic Program, Peruvian Fisheries Program
NSF Alan Waterman award committee
NSF Advisory Committee for the Geoscience Directorate
Board of Directors - Center for Integrated Marine Technologies
Science Team - Global Eulerian Observations

Publications

Barber, R.T. and F.P. Chavez. 1983. Biological consequences of El Niño. Science 222:1203-1210.
Chavez, F.P., R.T. Barber and H. Soldi S. 1984. Propagated temperature changes during onset and recovery of the 1982-83 El Niño. Nature 309:47-49.
Barber, R.T. and F.P. Chavez. 1986. Ocean variability in relation to living resources during the 1982-83 El Niño. Nature 319:279-285.

- 1 Chavez, F.P. (1987). El Niño y la Oscilacion del Sur. *Investigacion y Ciencia* (Spanish edition
2 of Scientific American) 128:46-55.
- 3 Martin, J.H. et al. 1994. Testing the iron hypothesis in ecosystems of the equatorial Pacific
4 Ocean. *Nature* 371:123-129.
- 5 Paytan, A., M. Kastner and F.P. Chavez. 1996. Glacial to interglacial fluctuations in productivity
6 in the Equatorial Pacific as indicated by marine barite. *Science* 274:1355-1377.
- 7 Coale, K.H et al. 1996. A massive phytoplankton bloom induced by an ecosystem-scale iron
8 fertilization experiment in the equatorial Pacific Ocean. *Nature* 383:495-501.
- 9 Johnson, K.S., F.P. Chavez and G.E. Friederich. 1999. Continental shelf sediment as a primary
10 source of iron for coastal phytoplankton. *Nature* 398:697-700.
- 11 Chavez, F.P., P.G. Strutton, G.E. Friederich, R.A. Feely, G.A. Feldman, D. Foley and M.J.
12 McPhaden. 1999. Biological and chemical response of the equatorial Pacific Ocean to the
13 1997-1998 El Niño. *Science* 286:2126-2131.
- 14 Chavez, F.P., J.P. Ryan, S. Lluch-Cota and M. Quijén C. 2003. From anchovies to sardines and
15 back-Multidecadal change in the Pacific Ocean. *Science* 299:217-221.
- 16 Chavez, F.P. and J.R. Toggweiler. 1995. Physical estimates of global new production: the
17 upwelling contribution, In *Upwelling in the Ocean: Modern Processes and Ancient Records*,
18 Summerhayes, C.P., Emeis, K.C., Angel, M.V., Smith, R.L., and Zeitzschel, B., (eds.), p.
19 313-320, J. Wiley & Sons, Chichester.
- 20 Chavez, F.P., J.T. Pennington, R. Herlien, H. Jannasch, G. Thurmond and G.E. Friederich. 1997.
21 Moorings and drifters for real-time interdisciplinary oceanography. *Journal of Atmospheric*
22 *and Oceanic Technology* 14:1199-1211.
- 23 Chavez, F.P. and C. Collins, eds. 1998. *Studies of the California Current System, Deep-Sea*
24 *Research II, Volume 45*.
- 25 Olivieri, R.O. and F.P. Chavez. 2000. A model of plankton dynamics for the coastal upwelling
26 system of Monterey Bay, California. *Deep-Sea Research II* 47:1077-1105.
- 27 Pennington, J.T. and F.P. Chavez. 2000. Seasonal fluctuations of temperature, salinity, nitrate,
28 chlorophyll and primary production at station H3/M1 over 1989-1996 in Monterey Bay,
29 California. *Deep-Sea Research II* 47:947-973.
- 30 Chavez, F.P. and C. Collins, eds. 2000. *Studies of the California Current System Part 2, Deep-Sea*
31 *Research II* 47:5-6.
- 32 Johnson, K.S., F.P. Chavez, V.A. Elrod, S.E. Fitzwater, J.T. Pennington, K.R. Buck and P.M.
33 Walz. 2001. The annual cycle of iron and the biological response in central California coastal
34 waters. *Geophysical Research Letters* 28:1247-1250.
- 35 Johnson, K.S., C.K. Paull, J.P. Barry and F.P. Chavez. 2001. A decadal record of underflows
36 from a coastal river into the deep sea. *Geology* 29:1019-1022.
- 37 Friederich, G., P. Walz, M. Burczynski and F.P. Chavez. 2002. Inorganic Carbon in the Central
38 California Upwelling System During the 1997-1999 El Niño -La Nina Event. *Progress in*
39 *Oceanography* 54:185-204.
- 40 Chavez, F.P., C.A. Collins, A. Huyer and D. Mackas (eds). 2002. El Niño along the west coast of
41 North America. *Progress in Oceanography* 54:1-6.
- 42 Collins, C.A. J.T. Pennington, C.G. Castro, T.A. Rago and F.P. Chavez. 2003. The California
43 Current system off Monterey, California: Physical and biological coupling. *Deep-Sea*
44 *Research II*. doi:10.1016/S0967-0645(03)00134-6
- 45
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1
2
3 **Kenneth J. Davis**
4 Department of Meteorology
5 The Pennsylvania State University
6 512 Walker Building
7 University Park, PA 16802-5013
8 Tel: (814) 863-8601; Fax: (814) 865-3663
9

10 **Education**

11
12 1987 A.B. Physics, Princeton University, Physics
13 1992 Ph.D. Astrophysical, Planetary and Atmospheric Sciences, University of
14 Colorado
15 1993-1994 Postdoc Trace gas micrometeorology, National Center for Atmospheric
16 Research
17

18 **Employment History**

19
20 2000-present Associate Professor, Dept of Meteorology, The Pennsylvania State University
21 Assistant Professor, Department of Soil, Water, and Climate, U. of Minnesota.
22 Fall 1996 Guest Scientist, Institute for Atmospheric Physics, German Aerospace Research
23 Establishment (DLR).
24 1995-1996 Research Associate, University of Colorado, Cooperative Institute for Research in
25 Environmental Sciences
26 1995-1996 Visiting Scientist, Mesoscale and Microscale Meteorology Division, National Center
27 for Atmospheric Research
28 1993-1994 Postdoctoral Fellow, NCAR, Advanced Studies Program.
29 1989-1992 NASA Graduate Student Researchers Program Fellow, APAS Department,
30 University of Colorado.
31 1989-1992 Graduate Research Assistant, Advanced Studies Program, NCAR.
32

33 **Professional Service/Activities**

34
35 Chair - Boundary Layers and Turbulence Committee, American Meteorological Society
36 Lead instructor - new interdisciplinary graduate course in the global carbon cycle, spring of 2002. PI
37 of proposed IGERT on carbon cycle science and management.
38 Director - Penn State EMS Environment Institute Center for Advanced Carbon Research and
39 Education (ACRE)
40 Principal organizer - the Chequamegon Ecosystem-Atmosphere Study (ChEAS), a multiple
41 investigator study of forest-atmosphere CO₂ and H₂O cycling.
42 Participant - Annual AmeriFlux meeting and periodic Fluxnet meetings, member of the
43 AmeriFlux Scientific steering group.
44
45

Publications

- 1 **Publications**
2
3 Bolstad, P.V., K.J. Davis, J. Martin, B.D. Cook and W. Wang. Component and whole-system
4 respiration fluxes in northern hardwood forests. *Tree Physiology* (in press).
5 Davis, K.J., P.S. Bakwin, B.W. Berger, C. Yi, C. Zhao, R.M. Teclaw and J.G. Isebrands, 2003. The
6 annual cycle of CO₂ and H₂O exchange over a northern mixed forest as observed from a very tall
7 tower. *Global Change Biology* 9:1278-1293.
8 Baker, I., A.S. Denning, N. Hanan, L. Prihodko, M. Uliasz, P.-L. Vidale, K.J. Davis and P.S.
9 Bakwin. 2003. Simulated and observed fluxes of sensible and latent heat and CO₂ at the
10 WLEF-TV tower using SiB2.5. *Global Change Biology* 9:1262-1277.
11 Marland G., R.A. Betts, K.J. Davis, P.C. Frumhoff, S.T. Jackson, L.A. Joyce, P. Kauppi, J.
12 Katzenberger, K.G. MacDicken, R.P. Neilson, J.O. Niles, D.d.S. Niyogi, R.J. Norby, N. Pena, N.
13 Sampson, Y. Xue, R.A. Pielke Sr., M. Apps and R. Avissar. 2003. The climatic impacts of land
14 surface change and carbon management, and the implications for climate-change mitigation
15 policy. *Climate Policy* 3:149-157.
16 Berger, B.W., K.J. Davis, P.S. Bakwin, C. Yi and C. Zhao. 2001. Long-term carbon dioxide fluxes
17 from a very tall tower in a northern forest: Flux measurement methodology. *J. Atmos. Oceanic*
18 *Tech.* 18:529-542.
19 Denning, A.S., M. Nicholls, L. Prihodko, I. Baker, P.-L. Vidale, K.J. Davis and P.S. Bakwin.
20 2003. Simulated and observed variations in atmospheric CO₂ over a Wisconsin forest. *Global*
21 *Change Biology* 9:1241-1250.
22 Werner, C., K J. Davis, P.S. Bakwin, C. Yi, D. Hurst and L. Lock. 2003. Interannual variability
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33 landscape-scale fluxes of carbon dioxide in the Peruvian Amazon by vertical profiling
34 through the convective boundary layer. *J. Geophys. Res.* 105:22,137-22,146.
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7 **Education**

8
9 1965 B.A. Biology, Hamilton College
10 1979 Ph.D. Ecology, S.U.N.Y., Stony Brook
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12 **Research Interests**

13 **Employment History**

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15
16 1989-present Senior Scientist, Woods Hole Research Center, Woods Hole, Massachusetts
17 1993-1994 Visiting Senior Scientist, Office of Mission to Planet Earth, NASA, Wash., D.C.
18 1987-1989 Associate Scientist, Woods Hole Research Center, Woods Hole, MA
19 1984-1987 Associate Scientist., Ecosystems Center, Marine Biological Laboratory, Woods
20 Hole, MA
21 1975-1984 Res. Assoc., Ecosystems Center, Marine Biological Laboratory, Woods Hole,
22 MA
23 1967-1974 Research Associate, Biology Department, Brookhaven National. Lab., Upton, NY
24

25 **Professional Service/Activities**

26
27 Marquis Who's Who in America
28 Honorary Doctorate from the Faculty of Forest Science, University of Munich
29 Associate Editor, *Environmental Reviews*
30 National Technical Advisory Committee for NIGEC
31 Landsat Pathfinder Science Working Group
32 Member - Ecological Society of America
33 Member - American Geophysical Union
34 Member - Sigma Xi
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36 **Publications**

37
38 Houghton, R.A. 1999. The annual net flux of carbon to the atmosphere from changes in land use
39 1850-1990. *Tellus* 51B:298-313.
40 Houghton, R.A., J.L. Hackler and K.T. Lawrence. 1999. The U.S. carbon budget: contributions
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2 the United States. 2. The role of fire and fire management. *Global Ecology and Biogeography*
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11 Analysis Center, U.S. Department of Energy, Oak Ridge National Laboratory, Oak Ridge,
12 Tennessee, U.S.A.
- 13 Pacala, S.W., G.C. Hurtt, D. Baker, P. Peylin, R.A. Houghton, R.A. Birdsey, L. Heath, E.T.
14 Sundquist, R.F. Stallard, P. Ciais, P. Moorcroft, J.P. Caspersen, E. Shevliakova, B. Moore, G.
15 Kohlmaier, E. Holland, M. Gloor, M.E. Harmon, S.-M. Fan, J.L. Sarmiento, C.L. Goodale, D.
16 Schimel and C.B. Field. 2001. Consistent land- and atmosphere-based U.S. carbon sink
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- 18 Schimel, D.S., J.I. House, K.A. Hibbard, P. Bousquet, P. Ciais, P. Peylin, B.H. Braswell, M.J.
19 Apps, D. Baker, A. Bondeau, J. Canadell, G. Churkina, W. Cramer, A.S. Denning, C.B.
20 Field, P. Friedlingstein, C. Goodale, M. Heimann, R.A. Houghton, J.M. Melillo, B. Moore
21 III, D. Murdiyarso, I. Noble, S.W. Pacala, I.C. Prentice, M.R. Raupach, P.J. Rayner, R.J.
22 Scholes, W.L. Steffen and C. Wirth. 2001. Recent patterns and mechanisms of carbon
23 exchange by terrestrial ecosystems. *Nature* 414:169-172.
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28 H. Kohlmaier, W. Kurz, S. Liu, G.-J. Nabuurs, S. Nilsson and A.Z. Shvidenko. 2002. Forest
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34 *Academy of Sciences* 99:1389-1394.
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42 apparent inconsistencies in estimates of terrestrial CO₂ sources and sinks. *Tellus* 55B:345-363.

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Education

1991 B.A. Biology, Dartmouth College
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1998 Ph.D. Ecosystem Ecology, University of New Hampshire

Employment History

2002-present Visiting Assistant Professor, Gund Institute for Ecological Economics, University of Vermont Rubenstein School of Environment and Natural Resources, Burlington, VT.
1998-2002 Research Forester, USDA Forest Service Northeastern Research Station Northern Global Change Program and Forest Inventory and Analysis

Professional Service/Activities

Delegate - National Academy of Sciences Workshop on Direct and Indirect Human Contributions to Terrestrial Greenhouse Gas Fluxes
U.S. Technical Expert - IPCC Working Group on Methodologies to Factor Out Direct Human-Induced Changes in Carbon Stocks and Greenhouse Gas Emissions by Sources and Removal by Sinks
Member - NCEAS Working Groups: Carbon Balance of North America and Eurasia; Development of a Consistent Global NPP database
Participant - Cary Conference IX: Understanding Ecosystems: The Role of Quantitative Models in Observation, Synthesis, and Prediction
Journal reviews - Canadian Journal of Forest Research, Climatic Change, Computers in Science and Agriculture, Ecological Applications, Ecosystems, Environmental Pollution, Forest Science, Global Change Biology, Journal of Biogeography, Mitigation and Adaptation Strategies for Global Change
Grant reviews - EPA STAR Fellowship Panel, NSF Long-term Research in Environmental Biology (LTREB) (2002), NSF Ecosystems, NASA New Investigator Program

Publications

Jenkins, J.C., D.C. Chojnacky, L.S. Heath and R.A. Birdsey. 2003. National-scale biomass estimators for United States tree species. *Forest Science* 49(1):12-35.

- 1 Jenkins, J.C., D.C. Chojnacky, L.S. Heath and R.A. Birdsey. 2003. A comprehensive database of
2 biomass equations for North American tree species. USDA Forest Service General Technical
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- 4 Pan, Y., J. Hom., J.C. Jenkins and R.a. Birdsey. 2003. Importance of foliar nitrogen
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- 16 Jenkins, J.C., R.A. Birdsey and Y. Pan. 2001. Biomass and NPP estimation for the mid-Atlantic
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- 31 Jenkins, J.C., D.W. Kicklighter, S.V. Ollinger, J.D. Aber, J.D. and J.M. Melillo. 1999. Sources
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33 U.S. forests. *Ecosystems* 2:555-570.
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Education

B.A. 1978 Dartmouth College
Ph.D. 1982 Stanford University

Research Interests

Plant Ecology
Global Interactions of the Biosphere, Atmosphere and Hydrosphere
Mathematical Modeling
Community Ecology

Employment History

2000-present Co-Director, The Carbon Mitigation Initiative, Princeton University
1995-present Co-Director, NOAA Carbon Modeling Center, Princeton University
1994-present Associated Faculty, Princeton Environmental Institute, Princeton University
1993-present Director of Graduate Studies, Department of Ecology and Evolutionary Biology,
Princeton University
1992-present Professor, Department of Ecology and Evolutionary Biology, Princeton
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1987-1992 Associate Professor, Department of Ecology and Evolutionary Biology,
The University Connecticut
1982-1987 Assistant Professor, Ecology Section, Biological Sciences Group, The University
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1979-1981 Teaching Assistant, Stanford University
1978 Teaching Assistant, Dartmouth College
1975-1978 Research Assistant, Dartmouth College

Professional Service/Activities

Associate Editor - The American Naturalist
Associate Editor - Theoretical Population Biology
Editorial Board - Ecological Applications
Editorial Board - Global Change Biology

Publications

Kinzig, A.P. S.W. Pacala and G.D. Tilman. 2002. The Functional Consequences of Biodiversity:
Experimental Progress and Theoretical Extensions. Princeton University Press, Princeton,
NJ.

- 1 Hurtt, G.C., S.W. Pacala, P.R. Moorcroft, J. Caspersen, E. Shevliakova, R.A. Houghton and B.
2 Moore III. 2002. Projecting the Future of the U.S. Carbon Sink. Proceedings of the National
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11 models of natural enemies. American Naturalist 159:57-80.
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- 19 Pacala, S.W., G.C. Hurtt, R.A. Houghton, R.A. Birdsey, L. Heath, E.T. Sundquist, R.F. Stallard,
20 D. Baker, P. Peylin, P. Moorcroft, J. Caspersen, E. Shevliakova, M.E. Harmon, S.-M. Fan,
21 J.L. Sarmiento, C. Goodale, C.B. Field, M. Gloor and D. Schimel. 2001. Consistent Land-
22 and Atmosphere-Based U.S. Carbon Sink Estimates. Science 292(5525):2316-2320.
- 23 Lewis, M.A. and S. Pacala. 2000. Modeling and analysis of stochastic invasion processes.
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- 25 Keeling, M.J., H.B. Wilson and S.W. Pacala. 2000. Re-interpreting Space, Time-lags, and
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- 27 Caspersen, J.P., S.W. Pacala, J.C. Jenkins, G.C. Hurtt, P.R. Moorcroft and R.A. Birdsey. 2000.
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- 30 Gloor, M., S.-M. Fan, S.W. Pacala and J.L. Sarmiento. 2000. Optimal sampling of the
31 atmosphere for purpose of inverse modelling - a model study. Global Biogeochem. Cycles
32 14(1):407-428.
- 33 Hurtt, G.C., P.R. Moorcroft, S.W. Pacala and S. Levin. 1998. Terrestrial Models and Global
34 Change: Challenges for the Future. Global Change Biology 4(5):581-590.

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Education

1977 B.Sc. Forest Biology, Colorado State University, Fort Collins

1980 M.Sc. Forest Ecology, Colorado State University, Fort Collins

1987 Ph.D. Systems Ecology/Agroecology, Swedish University of Agricultural
Sciences, Uppsala**Research Interests**Soil carbon sequestration in grasslands; mechanisms of soil carbon storage; modeling the carbon
cycle in managed ecosystems.**Employment History**

2001-present Professor, Department of Soil and Crop Sciences, Colorado State University

1996-present Senior Research Scientist, Natural Resource Ecology Lab, Colorado State
University

1993-1995 Research Scientist, Natural Resource Ecology Lab, Colorado State University

1991-1993 Research Assistant Professor, W.K. Kellogg Biological Station, Michigan State
University

1989-1990 Research Associate, W.K. Kellogg Biological Station, Michigan State University

1987-1989 Research Scientist, Dept. of Ecology and Environmental Research, Swedish
University of Agricultural Sciences**Professional Service/Activities**Executive Committee – Consortium for Agricultural Mitigation of Greenhouse Gases
(CASMGs)Coordinating Lead Author – IPCC Good Practice Guidelines for Land Use, Land Use Change
and Forestry, National Inventory GuidelinesLead Author - IPCC Special Report on a “Land use, Land use Change and Forestry” Review
Team - New Zealand National Carbon Inventory SystemCo-chair for CAST Taskforce on climate change impacts and greenhouse gas mitigation in US
agriculture.

Planning Committee member - Terrestrial Ecosystems Research Facilities, Dept. of Energy

Steering Committee member - International Geosphere Biosphere Program/Global Change in
Terrestrial Ecosystems, Focus 3, Soil Organic MatterSteering Committee member - U.S. Climate Change National Assessment, Agricultural Sector
Team

Task Force member - DOE National Taskforce to develop a Carbon Sequestration Roadmap

1 Co-chair - IPCC Working Group on Methodologies for Country Inventories of Greenhouse
2 Gases: CO₂ Emissions from Soils
3
4

5 **Publications**

- 6
7 Paustian, K., E.T. Elliott, G.A. Peterson and K. Killian. 1996. Modelling climate, CO₂ and
8 management impacts on soil carbon in semi-arid agroecosystems. *Plant and Soil* 187:351-
9 365.
- 10 Paustian, K., O. Andren, H. Janzen, R. Lal, P. Smith, G. Tian, H. Tiessen, M. van Noordwijk and
11 P. Woomer. 1997. Agricultural soil as a C sink to offset CO₂ emissions. *Soil Use and*
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- 13 Paustian, K., C.V. Cole, D. Sauerbeck and N. Sampson. 1998. CO₂ mitigation by agriculture: An
14 overview. *Climatic Change* 40:135-162.
- 15 Paustian, K., E.T. Elliott, J. Six and H.W. Hunt. 2000. Management options for reducing CO₂
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- 17 Collins, H.P., E.T. Elliott, K. Paustian, L.G. Bundy, W.A. Dick, D.R. Huggins, A.J.M. Smucker
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- 20 Paustian, K., E.T. Elliott, K. Killian, J. Cibra, G. Bluhm and J.L. Smith. 2001. Modeling and
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22 Lal and K. McSweeney (eds) *Soil Management for Enhancing Carbon Sequestration*. Pp.
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25 grassland: Effects on soil carbon. *Ecological Application* 11:343-355.
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- 28 Conant, R.T. and K. Paustian 2002. Potential soil carbon sequestration in overgrazed grassland
29 ecosystems. *Global Biogeochemical Cycles* 16:90_1-90_9.
- 30 Eve, M.D., M. Sperow, K. Howerton, K. Paustian and R.F. Follett. 2002. Predicted impact of
31 management changes on soil carbon stocks for each cropland region of the conterminous
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34 Agricultural Soil Carbon Sequestration: An Integrated Assessment Approach. *Journal of*
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40 Jagtap, J. Jones, L. Mearns, D. Ojima, E. Paul, K. Paustian, S. Riha, N. Rosenberg, C.
41 Rosenzweig. 2003. U.S. Agriculture and Climate Change: New Results. *Climatic Change*
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- 43 Sperow, M., M.D. Eve and K. Paustian. 2003. Potential soil C sequestration on U.S. agricultural
44 soils. *Climatic Change* 57:319-339.
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- 1 Ogle, S.M., R.T. Conant and K. Paustian. 2003. Deriving grassland management factors for a
2 carbon accounting method developed by the Intergovernmental Panel on Climate Change.
3 Environ. Management (in press).
4 Conant, R.T. and K. Paustian. 2003. Grassland management activity data: current sources and
5 future needs. Environ. Management (in press).
6 Paustian, K. and B. Babcock (eds). 2003. Climate Change and Greenhouse Gas Mitigation:
7 Challenges and Opportunities for Agriculture. Council on Agricultural Sciences and
8 Technology (CAST). (In press).
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12 **P. Tans**

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- 19 Education: Doctorandus, Theoretical Physics (1973) (cum laude).
20 PhD, Experimental Physics (1978), Rijksuniversiteit Groningen. Thesis
21 adviser: Prof. W.G. Mook. Thesis title: "¹³C and ¹⁴C in Tree Rings and the
22 Atmospheric CO₂ Increase"
23
- 24 Employment:
25 8/78-7/79 Postdoc, Scripps Inst. Oceanography, La Jolla, CA, with C.D. Keeling.
26 8/79-3/85 Staff scientist, Astrophysics Group, Lawrence Berkeley Laboratory, Berkeley.
27 4/85-2/90 Research Associate, CIRES, University of Colorado, Boulder.
28 3/90-12/96 Supervisory Physicist, Climate Monitoring and Diagnostics Laboratory, National
29 Oceanic and Atmospheric Administration, Boulder.
30 12/96- Chief Scientist, Climate Monitoring and Diagnostics Laboratory.
31
- 32 Past research: Magnetic impurities in an electron lattice gas; One-dimensional radiative climate
33 model; High precision ¹⁴C counting; Stable isotopes in tree rings; Radioisotope
34 detection with a cyclotron; Development of Raman scattering method to detect
35 minute changes in the ratio of atmospheric O₂ to N₂.
36 Present: Biogeochemical cycles; Global climate change; Stable isotope applications;
37 Atmospheric chemistry and transport; Inverse models; Air-sea exchange of gases;
38 Development of new generation of accurate and robust gas analyzers
39
- 40 7/92-8/00 Professor Adjoint, Department of Chemistry & Biochemistry, University
41 of Colorado at Boulder.
42 92-93 Committee on Oceanic Carbon, Ocean Studies Board, NRC
43 95-97 Dec-Cen Panel, Board on Atmospheric Sciences and Climate, NRC
44 5/97- CIRES fellow
45 98-99 Working Group drafting a multi-agency U.S. Carbon Cycle Science Plan
46 96- Associate Editor, Journal of Climate

1 97- Editorial Advisory Board, Tellus B
2
3 10/95- Corresponding member, Royal Dutch Academy of Sciences
4 8/00 Gold Medal, Department of Commerce
5 6/02- ISI Highly Cited (248 most cited authors in the geosciences 1981-1999)
6 1/04- Fellow, American Geophysical Union
7
8

9 Other interests and activities:

10 Sustainability of the earth's resources
11 Sailboat racing (former world & Dutch national champion)
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13 Courses taught: Physical Chemistry 1 & 2, Environmental Chemistry
14

15 Patents: Fiber-optic beam splitter
16 Infrared viewing of subcutaneous vascular structures
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18 Research Support: NOAA Oceanic and Atmospheric Research, NOAA Climate Observations
19 and Services Program, NASA LBA-ECO.
20

21 **Selected Publications:**
22

23 Francey, R. J. and P. P. Tans, Latitudinal variation in oxygen-18 of atmospheric CO₂, **Nature**
24 **327**, 495-497, 1987.
25 Tans, P. P., T. J. Conway, and T. Nakazawa, Latitudinal distribution of the sources and sinks of
26 atmospheric carbon dioxide derived from surface observations and atmospheric transport
27 model, **J. Geophys. Res.** **94**, 5151-5172, 1989.
28 Tans, P. P., I. Y. Fung, and T. Takahashi, Observational constraints on the global atmospheric
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33 Tans, P.P., J.A. Berry, and R.F. Keeling, Oceanic ¹³C/¹²C observations, a new window on CO₂
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35 Novelli, P.C., K.A. Masarie, P.P. Tans, and P.M. Lang, Recent changes in atmospheric carbon
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21 **EXPERIENCE**
22

23 **Consensus Building Institute (CBI), Cambridge, Massachusetts** September 1998 – Present

24 A not-for-profit organization that provides mediation and dispute system design services to
25 public and private clients worldwide.

26 *Vice President for Research, Evaluation, and Special Projects and for Management.*

27 Conduct conflict assessments and develop negotiation and consensus building training
28 courses for public and non-profit organizations. Convene and facilitate public meetings
29 and on-going policy dialogues. Train various audiences on facilitation and stakeholder
30 participation approaches. Design multi-party negotiation simulations. Conduct research
31 on and write about the use of mediation in solving land use disputes. Program Manager
32 for CBI work in the Netherlands. Manage CBI's program development.
33

34 **Consultant** February 1997 – August 1998

35 Oxfam America, Boston, Massachusetts -. Conducted a research survey on hydrology and
36 fish studies of the Mekong River Basin.
37

38 Consensus Building Institute (CBI), Cambridge, Massachusetts – Project Advisor.
39

40 Stonyfield Farm, Inc., Londonderry, New Hampshire - Set up a corporate Carbon
41 Mitigation Program and wrote a brochure on how to start a Carbon Mitigation Program
42 for other businesses.
43

44 World Resources Institute (WRI), Washington, D.C. - Wrote a technical report on
45 participation and facilitation in the Cambodian National Environmental Action Plan
46 (NEAP) process.

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2 **World Resources Institute (WRI), Washington, D.C.** November 1990 - January 1997
3 An independent center for policy research and technical assistance on global environmental and
4 development issues.
5 Associate and Coordinator for Asia and the Pacific, Center for International Development and
6 Environment. October 1992 - January 1997
7 Managed the Cambodia Environmental Management Project (CEMP) and served as
8 Environmental Policy Advisor to the Royal Government of Cambodia's Ministry of
9 Environment -- based in Phnom Penh, Cambodia (for eight months).
10 Coordinated the USAID-Indonesia Environmental Assessment and Strategy.
11 Managed the "Strengthening Environmental Impact Assessment (EIA) Capacity in Asia"
12 project.
13
14 Program Analyst, Center for International Development and Environment. November 1991 -
15 September 1992
16
17 Research Assistant, Resource and Environmental Information Program. November 1990 -
18 October 1991
19 **United Nations Environment Programme, Bangkok, Thailand** June – August 1989
20 UN agency addressing the management of global and regional environmental issues.
21 Intern
22 Researched and wrote a report on alternative policies for addressing climate change in
23 Asia and the Pacific.
24
25 **Center for Environmental Management, Tufts University, Medford, MA.** July - October
26 1990
27 Research center addressing local, national, and international environmental management issues.
28 Research Assistant.
29 Assisted in the preparation of a case study on the regional, national, and local boundaries
30 of decision-making and implementation of global climate change responses in New
31 England and Eastern Canada.
32
33 **International Institute for Management and Development (IMD), Lausanne, Switzerland** May 1986 -
34 International management school with advanced programs for senior executives and an MBA
35 program.
36 Public Relations Coordinator and Editor.
37
38 **United Nations Association of Greater Boston, Boston, MA.** January - December 1985
39 Association set up to create awareness of the United Nations and the issues they address.
40 Co-Executive Director.
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EDUCATION**The Fletcher School of Law and Diplomacy, Tufts University, Medford, MA.**

Master of Arts in Law and Diplomacy, *August 1990*. Masters thesis topic: Alternative Policies for Addressing Climate Change in Asia and the Pacific. Teaching Assistant for Professors William Moomaw and Rick Wetzler for a graduate and undergraduate course on Environmental Science, Spring 1990.

Boston University, Boston, MA.

Bachelor of Science in Mass Communications, *May 1985*.

PERSONAL

U.S. Permanent Resident, Dutch citizenship

Fluent in English, Dutch, and French. Reading knowledge of German, and beginning Indonesian.

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Education

University of Chicago, Chicago, Illinois. B.S. (with honors) in Chemistry, 1966.

Harvard University, Cambridge, MA. M.A. in Chemistry, 1967; Ph.D. in Chemistry, 1971

Research Interests

Terrestrial carbon cycle; effects of forests on climate, and climate on forests.

Inference of large scale carbon budgets from atmospheric and land surface data

CO₂ as a tracer of atmospheric transport in the upper troposphere and stratosphere

New instrumentation for measuring atmospheric carbon cycle species (CO₂, CO, CH₄).

Professional Experience

June 1971 to September 1973. NRC Research Associate, Smithsonian Astrophysical Observatory.

September 1973 to June 1977. Division of Engineering and Applied Physics, Harvard, Lecturer and Research Fellow on Atmospheric Chemistry (Harvard DEAS).

July 1977 to June 1982. Associate Professor of Atmospheric Chemistry, (Harvard DEAS).

July, 1982 to February, 1995. Senior Research Fellow, (Harvard DEAS).

February, 1995. Gordon McKay Professor of Atmospheric and Environmental Sciences, Harvard (DEAS) and Department of Earth and Planetary Sciences (EPS).

January, 1997. Abbott Lawrence Rotch Professor of Atmospheric and Environmental Science, Harvard University DEAS and EPS.

Committees (recent)

NASA Earth System Science and Applications Advisory Committee 1995-2000; chair, 1997-1999; NASA Advisory Council, 1997-1999.

Carbon Cycle Science Plan Working Group, co-chair, 1998-1999; North American Carbon Program writing group, chair, 2001-2003.

Project or Lead Scientist for the following aircraft measurement programs

Stratospheric Photochemistry, Aerosol, and Dynamics Experiment (NASA ER-2, 1992-3)

Stratospheric Tracers of Atmospheric Transport (STRAT; NASA ER-2 platform, 1995-7)

CO₂ Boundary-layer Regional Atmospheric Study (COBRA, UND Citation 2, 1999-2000, NASA/NOAA/NSF/DoE)

CO₂ Boundary-layer Regional Atmospheric Study- North American Carbon Program, Canada-US

Preliminary Study (May - June 2003, NASA/TEP).

CO₂ Boundary-layer Regional Atmospheric Study-Maine (COBRA, U. Wyoming King Air, 2004 NSF/Biocomplexity)

Selected Recent Publications (200 total since 1970)

Andrews, A. E., K. A. Boering, S. C. Wofsy, B. C. Daube, D. B. Jones, S. Alex, M. Loewenstein, J. R. Podolske, and S. E. Strahan, Empirical age spectra for the midlatitude lower stratosphere from *in situ* observations of CO₂, *J. Geophys. Res.*, 106, 10257-10274, 2001.

Barford, Carol C., Steven C. Wofsy, Michael L. Goulden, J. Wm. Munger, Elizabeth Hammond Pyle, Shawn P. Urbanski, Lucy Hutyra, Scott R. Saleska, David Fitzjarrald, Kathleen Moore, Factors controlling long and short term sequestration of atmospheric CO₂ in a mid-latitude forest, *Science* 294 (5547): 1688-1691, 2001.

Chou, Wendy W., Steven C. Wofsy, Robert C. Harriss, John C. Lin, C. Gerbig, and Glenn W. Sachse, Net fluxes of CO₂ in Amazônia derived from aircraft observations, *i. Geophys Res.* 107 (D22), 4614, 10.1029/2001JD001295, 2002.

Daube BC; Boering KA; Andrews AE; Wofsy SC: A high-precision fast-response airborne CO₂ analyzer for in situ sampling from the surface to the middle stratosphere. *J. Atmos. Oceanic Technol.* 19, Iss 10, pp 1532-1543, 2002.

Goldstein, A. H., S.M. Fan, M.L. Goulden, J.W. Munger, S.C. Wofsy. Biogenic Olefin Emissions from a Midlatitude Forest, *J. Geophys. Res.* 101, . 9149-9157, 1996.

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Goulden, M. L., J. W. Munger, S.-M. Fan, B. C. Daube, and S. C. Wofsy, Measurements of carbon storage by long-term eddy covariance, *Global Change Biology* 2, 169-182, 1996.

Gu, Lianhong, Dennis D. Baldocchi, Steven C. Wofsy, J. William Munger, Joseph J. Michalsky, Shawn P. Urbanski, Thomas A. Boden, Response of a deciduous forest to the Mt. Pinatubo eruption: Enhanced photosynthesis, *Science* 299, 2035-2038, 28 MARCH 2003.

Lin, J. C., C. Gerbig, S.C. Wofsy, A.E. Andrews, B.C. Daube, K.J. Davis, A. Grainger, The Stochastic Time-Inverted Lagrangian Transport Model (STILT): Quantitative analysis of surface sources from atmospheric concentration data using particle ensembles in a turbulent atmosphere, *J. Geophys. Res.* 108, No. D16, 4493, 10.1029/2002JD003161, 2003.

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3 (accepted in *Global Biogeochemical Cycles*).
- 4 Litvak, M., S. Miller, S. Wofsy, M. Goulden, Effect of stand age on whole-ecosystem CO₂
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- 7 Munger, J. William, Song-Miao Fan, Peter S. Bakwin, Mike L. Goulden, A. H. Goldstein, A. S.
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9 Sources: Variations of rates for oxidation and deposition with season and distance from
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13 New England from observations at a forest flux tower. *J. Geophys. Res*, 104: 9561-9569,
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16 Gower, Matthew Gregory A Cross-biome Comparison of Daily Light Use Efficiency for Gross
17 Primary Production, *Global Change Biology (in press, 2003)*.
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19 the NACP Committee of the U.S. Interagency Carbon Cycle Science Program. Washington,
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